# **Towards Practical ABox Abduction in Large Description Logic Ontologies**

Jianfeng Du<sup>\*</sup>, School of Management, Guangdong University of Foreign Studies; State Key Laboratory of Computer Science, Institute of Software, Chinese Academy of Sciences, China Guilin Qi, School of Computer Science and Engineering, Southeast University; Key Laboratory of Symbolic Computation and Knowledge Engineering of Ministry of Education, Jilin University,China Yi-Dong Shen, State Key Laboratory of Computer Science, Institute of Software, Chinese Academy of Sciences, China Jeff Z. Pan, Department of Computing Science, The University of Aberdeen, UK

# ABSTRACT

ABox abduction is an important reasoning facility in Description Logics (DLs). It finds all minimal sets of ABox axioms, called abductive solutions, which should be added to a background ontology to enforce entailment of an observation which is a specified set of ABox axioms. However, ABox abduction is far from practical by now because there lack feasible methods working in finite time for expressive DLs. To pave a way to practical ABox abduction, this paper proposes a new problem for ABox abduction and a new method for computing abductive solutions accordingly. The proposed problem guarantees finite number of abductive solutions. The proposed method works in finite time for a very expressive DL, SHOIQ, which underpins the W3C standard language OWL 2, and guarantees soundness and conditional completeness of computed results. Experimental results on benchmark ontologies show that the method is feasible and can scale to large ABoxes.

*Keywords:* ABox Abduction; Ontologies; Description Logics; Abductive Reasoning; Logic Programming; Datalog; Prolog

## **1. INTRODUCTION**

The W3C organization has proposed the standard Web Ontology Language (OWL), whose newest version is OWL 2 (<u>http://www.w3.org/TR/owl2-overview/</u>), to model ontologies for a wide range of applications. OWL is underpinned by Description Logics (DLs) (Baader et al., 2003). For example, the two important species of OWL, namely OWL DL and OWL 2 DL, are syntactic variants of two DLs SHOIN (**D**) and SROIQ(**D**) respectively (Horrocks et al., 2003; Grau et al., 2008). With formal semantics, DLs provide a number of well-defined reasoning facilities which widen the applicability of DL ontologies, including OWL ontologies.

Besides standard reasoning facilities proposed in the DL handbook (Baader et al., 2003) such as checking whether a DL ontology is consistent and checking whether an axiom is entailed by a DL ontology, some non-standard reasoning facilities have been proposed as well. A well-known non-standard reasoning facility, called axiom pinpointing (Baader & Peñaloza, 2007; Schlobach & Cornet, 2003) or justification computing (Kalyanpur et al., 2007), is to compute

minimal sets of axioms responsible for an entailment of a DL ontology. This facility is used to explain why some axioms are entailed by a DL ontology and suggest solutions to remove these entailments. Corresponding to this facility, another well-known non-standard reasoning facility, usually referred to as abduction or abductive reasoning (Elsenbroich et al., 2006), is to compute minimal sets of axioms that should be added to a background ontology to enforce entailment of an observation which is a set of axioms. This facility is used to explain why some axioms are not entailed by a DL ontology and suggest solutions to enforce these entailments.

Since a DL ontology is composed of a TBox, which stores intensional knowledge, as well as an ABox, which stores extensional knowledge, there are two sub-facilities for abductive reasoning in DLs. One is TBox abduction, the other is ABox abduction. They differ from each other on the kinds of information that is allowed to appear in computed results. For TBox abduction, only concepts, roles or TBox axioms (e.g. concept or role inclusion axioms) are allowed. For ABox abduction, only ABox axioms (e.g. concept or role assertions) are allowed. ABox abduction has its unique characteristics and cannot be treated as axiom pinpointing or solved by existing methods for TBox abduction. See this in the following example.

**Example 1.** Let the background ontology O consist of the following four TBox axioms Clever Diligent **His Rewarded.Competition**,

BisRewarded.Competition Extraordinary,

Extraordinary Person,

Person Extraordinary Ordinary,

and the following two ABox axioms

Person(Tom), Clever(Tom).

The first TBox axiom says that someone who is clever and diligent will be rewarded in some competition. The second TBox axiom says that someone rewarded in some competition is extraordinary. The third TBox axiom says that someone extraordinary is a person. The last TBox axiom says that a person is extraordinary or ordinary. The first ABox axiom says that Tom is a person, while the second one says that Tom is clever. When we are informed that Tom is extraordinary, we may want to know why this happens. However, the current ontology O does not entail Extraordinary(Tom), so we cannot find explanations in O through axiom pinpointing. In this situation, we need to introduce a hypothesis which is a set of axioms absent in O such that the union of it and O entails Extraordinary(Tom). For example, we can introduce a hypothesis {Diligent(Tom)}, then  $O \cup \{Diligent(Tom)\}$  entails Extraordinary(Tom). However, existing methods for TBox abduction cannot directly be applied to compute this hypothesis, because these methods do not consider nominals and the hypothesis involves a nominal {Tom}. This example shows that we need particular methods for ABox abduction that are different from existing methods for axiom pinpointing or TBox abduction.

By now there are only few methods for ABox abduction. One known method is based on backward inference (Peraldi et al., 2007). It restricts axioms in the given ontology to some special forms. Moreover, it does not guarantee any minimality for computed results. Another known method is based on some complex tableaux and resolution techniques (Klarman et al., 2011). It works on the DL ALC which is a fragment of SHOIN, the DL corresponding to OWL DL. ALC is obtained from SHOIN by disallowing number restrictions, nominals,

inverse roles, role inclusion axioms and transitivity axioms. Moreover, the method proposed in (Klarman et al., 2011) does not guarantee termination because it allows arbitrarily many nested existential/value restrictions appearing in computed results. Consider an ontology consisting of only the following axiom, which says that something has a person as its parent is a person.

#### BhasParent.Person Person

The method will compute infinitely many results for the observation that Amy is a person (i.e. {Person(Amy)}). Each result consists of a single concept assertion of the form **BhasParent.BhasParent....Person**(Amy), in which the concept is an existential restriction having arbitrarily many nested **BhasParent**. Note that the ultimate results computed by the method should have certain minimality, while the method always computes all candidate results that may not be minimal before selecting out ultimate ones. Hence the method will not terminate even when there are finitely many ultimate results but infinitely many candidate results. The present situation for ABox abduction urges us to develop practical methods, which should be able to efficiently (at least in finite time) compute minimal results for expressive DLs.

To ensure all minimal results to be computed in finite time, we need to guarantee that there are only finitely many minimal results. Thus, we first propose a new problem for ABox abduction. This problem aims to compute minimal sets of ABox axioms, called abductive solutions, which should be added to a DL ontology to make a given observation entailed by the ontology, where all ABox axioms in an abductive solution are composed of individual names in the ontology and user-specified predicates. The user-specified predicates, called abducible predicates that can be used should be finite so that the number of abductive solutions is finite. The introduction of abducible predicates will give users flexibility to formulate the explanations for an observation.

To seek methods to solve the proposed problem, we consider successful tools on abductive reasoning in logic programming (Kakas et al., 1998), such as two state-of-the-art abduction systems CIFF (Mancarella et al., 2009) and A -system (Kakas et al., 2001). These tools are built on modern Prolog engines, but they only allow the background theory to be a normal logic program, which corresponds to a plain datalog program extended with negation-as-failure. However, the DLs that underpin OWL, such as SHOIN (OWL DL without datatypes) and SROIQ (OWL 2 DL without datatypes), do not contain negation-as-failure and cannot be directly translated to plain datalog due to the presence of existential restrictions. For example, the axiom A  $\exists r.B$  can only be translated to a first-order rule  $\forall x : A(x) \rightarrow \exists y : r(x, y) \land B(y)$  which is not in plain datalog, because plain datalog programs do not contain function symbols or existentially quantified variables, while function symbols must be introduced when eliminating the existentially quantified variable y. Hence, we propose a reduction based method for ABox abduction. It first reduces the proposed problem for ABox abduction to a traditional abduction problem in logic programming in which the background theory is a plain datalog program, then extracts true results from the abductive solutions for the reduced abduction problem. This method can not only work for very expressive DLs including SHOIN and SROIO but also make use of efficient techniques in modern Prolog engines. Since the reduction is approximate and cannot guarantee semantic equivalence, the method cannot guarantee completeness, i.e., some abductive solutions may be missed, but it still guarantees soundness, i.e., all output results are actually abductive solutions. We present the method with SHOIQ which underpins both OWL DL and OWL 2 DL.

To verify the practicality of the proposed method, we conduct experiments on a series of benchmark ontologies that have large ABoxes, including those previously used to compare modern DL reasoners (Motik & Sattler, 2006) and those coming from the well-known University Benchmark (UOBM) (Ma et al., 2006). Experimental results on these ontologies show that the proposed method works well for hundreds of abducible predicates and up to half a million ABox axioms. This demonstrates that the proposed method paves a way towards practical ABox abduction in large DL ontologies.

The remainder of this paper is organized as follows. After providing preliminaries in the next section, in section 3 we formalize the proposed problem for ABox abduction. Then in section 4, we describe two methods for the proposed problem, with the latter one taken as our recommendation. In section 5, we present our experimental evaluation on the recommended method. Before concluding, we discuss related work in section 6.

This paper is significantly extended from a conference paper (Du et al., 2011). First of all, in (Du et al., 2011) only literal concepts or atomic roles are allowed as abducible predicates. This paper allows arbitrary concepts or roles as abducible predicates. Secondly, this paper considers negated roles which are neglected in (Du et al., 2011). For example, the concept  $\exists r.A$  and the negated role  $\neg r$  can be used as abducible predicates here but cannot in (Du et al., 2011). Moreover, negated role assertions of the form  $\neg r(a,b)$  can be used as observations here but cannot in (Du et al., 2011). Thirdly, both the restricted method and the general method that are proposed in (Du et al., 2011) are revised in this paper. The main revisions come from handling arbitrary concepts or roles (including negated roles), which requires more elaborate techniques. Finally, more experimental results and complete proofs of theoretical results are supplemented.

## 2. PRELIMINARIES

In this section, we introduce the DL SHOIQ and disjunctive datalog, both of which express background theories that we consider. Moreover, we also introduce a method for compiling SHIQ to disjunctive datalog (Hustadt et al., 2007) and a method for axiomatizing equality (Fitting 1996), both of which are highly related to our proposed method.

# 2.1 The Description Logic ${\rm SHOIQ}$

Description Logics (DLs) (Baader et al., 2003) are logical foundations of OWL. SHOIQ is a very expressive DL that underpins OWL DL and OWL 2 DL, since OWL DL is a syntactic variant of SHOIN (**D**) (Horrocks et al., 2003) and OWL 2 DL is a syntactic variant of SROIQ(**D**) (Grau et al., 2008). Throughout this paper, we use the DL syntax of SHOIQ as it is more compact.

Let  $N_R$  be a set of role names. A SHOIQ role (simply a role) is either some  $r \in N_R$ (atomic role) or an inverse role  $r^-$  for  $r \in N_R$ . Let  $Inv(r) = r^-$  and  $Inv(r^-) = r$  for  $r \in N_R$ . Let  $N_C$  be a set of concept names and  $N_I$  a set of individual names. The sets  $N_R$ ,  $N_C$  and  $N_I$  are mutually disjoint. The set of SHOIQ concepts is the smallest set recursively defined as follows. Each  $A \in N_C$  (atomic concept) or each  $\{a\}$  (nominal) where  $a \in N_I$  is a SHOIQ concept. For SHOIQ concepts C and D, roles r and s, and a nonnegative integer n, the following concepts are also SHOIQ concepts: (top concept),  $\perp$  (bottom concept),  $\neg C$  (negation), C = D (conjunction), C = D (disjunction),  $\exists r.C$  (existential restriction),  $\forall r.C$  (value restriction),  $\leq_n s.C$  and  $\geq_n s.C$  (qualifying number restrictions). A concept or a role is said to be literal if it is atomic or negated atomic.

A SHOIQ ontology consists of a SHOIQ TBox and a SHOIQ ABox. A SHOIQ TBox T is a finite set of TBox axioms, including concept inclusion axioms C D, role inclusion axioms r s and transitivity axioms  $\operatorname{Tra}(r)$ , where C and D are SHOIQ concepts, and r and s are roles. It is required that r  $s \in T$  imply  $\operatorname{Inv}(r)$   $\operatorname{Inv}(s) \in T$ , while  $\operatorname{Tra}(r) \in T$  imply  $\operatorname{Tra}(\operatorname{Inv}(r)) \in T$ , for any roles r and s. Let <sup>\*</sup> denote the reflexive-transitive closure of . A role r is said to be transitive if  $\operatorname{Tra}(s) \in T$  for some role s such that s <sup>\*</sup> r and r <sup>\*</sup> s. r is said to be simple if there is no transitive role s such that s <sup>\*</sup> r. r is said to be complex if it is not simple. To guarantee decidability of SHOIQ, it is required that any role s used in qualifying number restrictions  $\leq_n s.C$  or  $\geq_n s.C$  be simple. A SHOIQ ABox A is a finite set of ABox axioms, including concept assertions C(a), role assertions r(a,b), equality assertions  $a \approx b$  and inequality assertions  $a \neq b$ , where C is a literal (resp. atomic or negated atomic) concept, C(a) is said to be a literal (resp. atomic or negated) concept assertion.

An interpretation  $\mathbf{I} = (\Delta^{\mathbf{I}}, g^{\mathbf{I}})$  consists of a set  $\Delta^{\mathbf{I}}$ , called the domain of  $\mathbf{I}$ , and a function  $g^{\mathbf{I}}$  that maps every concept name A to a set  $A^{\mathbf{I}} \subseteq \Delta^{\mathbf{I}}$ , every role name r to a binary relation  $r^{\mathbf{I}} \subseteq \Delta^{\mathbf{I}} \times \Delta^{\mathbf{I}}$ , and every individual name a to  $a^{\mathbf{I}} \in \Delta^{\mathbf{I}}$ . The interpretation is extended to arbitrary SHOIQ concepts according to the left part of Table 1, where |S| denotes the cardinality of a set S, and to inverse roles by defining  $|\mathbf{n}v(r)^{\mathbf{I}}|$  as  $\{(x, y) | (y, x) \in r^{\mathbf{I}}\}$ . An interpretation  $\mathbf{I}$  is said to satisfy an axiom ax or be a model of ax, if the corresponding condition given in the right part of Table 1 holds. By  $\mathbf{M}(ax)$  (resp.  $\mathbf{M}(S)$ ) we denote the set of models of an axiom ax (resp. a set S of axioms). Then  $\mathbf{M}(S) = \mathbf{I} \mathbf{M}(ax)$  for any set S of axioms is axioms. A SHOIQ ontology O is said to be consistent if  $\mathbf{M}(\mathbf{O}) \neq \emptyset$ . A set S of axioms is

said to be entailed by O, denoted by O = S, if  $M(O) \subseteq M(S)$ .

Syntax	Semantics	TBox Condition	
	$\Delta^{\mathrm{I}}$	C D	$C^{\mathbf{I}} \subseteq D^{\mathbf{I}}$
⊥	Ø	Tra(r)	$(r^{\mathrm{I}})^{+} = r^{\mathrm{I}}$
$\{a\}$	a <sup>I</sup>	r s	$r^{\mathbf{I}} \subseteq s^{\mathbf{I}}$
$\neg C$	$\Delta^{\mathrm{I}} \setminus C^{\mathrm{I}}$		
C D	$C^{\mathbf{I}} \cap D^{\mathbf{I}}$	ABox	Conditions
C D	$C^{\mathbf{I}} \cup D^{\mathbf{I}}$	C(a)	$a^{\mathbf{I}} \in C^{\mathbf{I}}$
$\exists r.C$	$\{x \in \Delta^{\mathbf{I}} \mid \exists y : (x, y) \in r^{\mathbf{I}} \land y \in C^{\mathbf{I}}\}$	r(a,b)	$(a^{\mathrm{I}},b^{\mathrm{I}}) \in r^{\mathrm{I}}$
∀r.C	$\{x \in \Delta^{\mathbf{I}} \mid \forall y : (x, y) \in r^{\mathbf{I}} \Rightarrow y \in C^{\mathbf{I}}\}\$	$\neg r(a,b)$	$(a^{\mathrm{I}},b^{\mathrm{I}}) \notin r^{\mathrm{I}}$

Table 1: The syntax and semantics of SHOIQ

$\leq_n s.C$	$\{x \in \Delta^{\mathbf{I}}     \{y \in C^{\mathbf{I}}   (x, y) \in s^{\mathbf{I}} \} \leq n \}$	$a \approx b$	$a^{\mathbf{I}} = b^{\mathbf{I}}$
$\geq_n s.C$	$\{x \in \Delta^{\mathbf{I}}     \{y \in C^{\mathbf{I}}   (x, y) \in s^{\mathbf{I}} \} \ge n\}$	a ≉ b	$a^{\mathbf{I}} \neq b^{\mathbf{I}}$

## 2.2 Disjunctive Datalog and Plain Datalog

An atom is of the form  $T(v_1, ..., v_n)$ , where T is a predicate and the arguments  $v_1, ..., v_n$  are variables or constants. When T is the equality predicate  $\approx$ ,  $T(v_1, v_2)$  is also called an equational atom, usually written as  $v_1 \approx v_2$ . A rule is of the form  $\alpha_1 \vee ... \vee \alpha_n \leftarrow \beta_1, ..., \beta_m$ , where  $\alpha_i$  and  $\beta_i$  are atoms,  $\alpha_1, ..., \alpha_n$  are called head atoms of the rule, and  $\beta_i, ..., \beta_m$  are called body atoms of the rule. The set of head atoms of a rule R is denoted by head(R), while the set of body atoms of R is denoted by body(R). A rule R is called a constraint if |head(R)|=0; called a fact if |body(R)|=0; called definite if |head(R)|=1. A fact  $\alpha_1 \vee ... \vee \alpha_n \leftarrow$  can simply be written as  $\alpha_1 \vee ... \vee \alpha_n$ . A rule is said to be safe if every variable occurring in a head atom also occur in some body atom. A disjunctive datalog program (Eiter et al., 1997) is a finite set of safe rules. A disjunctive datalog program with equality is a disjunctive datalog program in which some equational atoms occur in rule heads. A plain datalog program (with equality) is a disjunctive datalog program (with equality) that has only definite rules and constraints.

An atom or a rule is ground if it has no variables. A ground instance of an atom  $\alpha$  (resp. a rule *R*) is a ground atom (resp. a ground rule) obtained from  $\alpha$  (resp. *R*) by replacing all variables with constants. Given a disjunctive datalog program with equality **P**, the set of all ground instances of atoms in **P** obtained by replacing all variables with constants occurring in **P** is called the Herbrand base of **P**, denoted by HB(P). The set of all ground instances of rules in **P** obtained by replacing all variables with constants occurring in **P** is denoted by G(P).

A Herbrand interpretation (simply interpretation) M of P is a subset of HB(P). M is called a Herbrand model (simply model) of P if (i) body $(r) \subseteq M$  implies head $(r) \cap M \neq \emptyset$ for every ground rule  $r \in G(P)$ , and (ii) the equality predicate  $\approx$  can be interpreted as a congruence relation in M, i.e.,  $\approx$  is reflexive ( $a \approx a \in M$  for all constants a occurring in M), symmetric ( $a \approx b \in M$  implies  $b \approx a \in M$ ) and transitive ( $a \approx b \in M$  and  $b \approx c \in M$  imply  $a \approx c \in M$ ), and  $T(a_1, \dots, a_i, \dots, a_n) \in M$  and  $a_i \approx b_i \in M$  imply  $T(a_1, \dots, b_i, \dots, a_n) \in M$  for every predicate T occurring in P. P is said to be satisfiable if it admits at least one model. A ground atom  $\alpha$  is said to be entailed by P, denoted by  $P \models \alpha$ , if  $\alpha$  is in all models of P. A set S of ground atoms is said to be entailed by P, denoted by  $P \models S$ , if  $P \models \alpha$  for all  $\alpha \in S$ .

## 2.3 Compiling from ${\rm SHIQ}$ to Disjunctive Datalog

The DL SHIQ is almost as expressive as SHOIQ except that nominals are disallowed. There is a well-known method (Hustadt et al., 2007) for compiling an extensionally reduced SHIQ ontology to a disjunctive datalog program with equality, where a SHIQ ontology is said to be extensionally reduced if for all concept assertions C(a) in the ABox, C is a literal concept, and for all role assertions r(a,b) in the ABox, r is not an inverse role or the negation of some inverse role. Since this method has been implemented in the KAON2 system (http://kaon2.semanticweb.org/), we call it the KAON2 method.

Given an extensionally reduced SHIQ ontology O whose TBox is T and whose ABox is A, the KAON2 method compiles O to a disjunctive datalog program with equality, denoted by DD(O), through the following six steps.

In the first step, every transitivity axiom  $\text{Tra}(s) \in T$  is removed and concept inclusion axioms of the form  $\forall r.C \quad \forall s.(\forall s.C)$  are added to T, for all roles r such that  $s \quad r$  and all concepts C appearing in T. This step is the standard method for eliminating transitivity axioms and will yield an ALCHIQ ontology  $\Omega(O)$ , such that  $\Omega(O)$  is consistent if O is consistent, and when O has no negated role assertions on complex roles, O is consistent if  $\Omega(O)$  is consistent (Hustadt et al., 2007).

In the second step, the TBox of  $\Omega(O)$  is translated into a set of first-order clauses, using standard transformation methods from first-order logic. This step involves eliminating existential quantifiers by Skolemization and may introduce function symbols.

In the third step, the set of clauses obtained in the second step is saturated by adding nonredundant logical consequences. This step takes up to exponential time w.r.t. the size of T. For an arbitrary atom (possibly an equational atom) in the saturated set of non-redundant clauses, its arguments can be variables or functional terms of the form f(x), where f is a function symbol introduced in the second step.

In the fourth step, any functional term f(x) occurring in the resulting set of clauses in the third step is rewritten to a new variable  $x_f$  The resulting set of clauses is then syntactically transformed to a set of rules. To make the resulting rules safe, auxiliary atoms of the form HU(x),  $HU(x_f)$  or  $S_f(x,x_f)$  are added to rule bodies if necessary. For example, the rule  $B(x_f) \leftarrow A(x), S_f(x,x_f)$  is rewritten from  $B(f(x)) \leftarrow A(x)$ , while the rule  $A(x) \lor B(x) \leftarrow HU(x)$  is rewritten from  $A(x) \lor B(x)$ . We denote the set of rules computed in this step by  $\Gamma(T)$ , which has no functional terms.

In the fifth step, a set of ground facts of the form HU(a),  $HU(a_f)$  or  $S_f(a, a_f)$  is constructed, which are instantiated for all individual names *a* occurring in A and all function symbols *f* introduced in the second step. We denote this set by  $\Delta(O)$ .

In the last step, A is directly translated to a set of ground facts or ground constraints. More precisely, ABox axioms of the form A(a) (resp. r(a,b) or  $a \approx b$ ) are translated to ground facts A(a) (resp. r(a,b) or  $a \approx b$ ), while ABox axioms of the form  $\neg A(a)$  (resp.  $\neg r(a,b)$  or  $a \approx b$ ) are translated to ground constraints  $\leftarrow A(a)$  (resp.  $\leftarrow r(a,b)$  or  $\leftarrow a \approx b$ ). We denote this set by  $\Xi(A)$ .

Let DD(O) be defined as  $\Gamma(T) \cup \Xi(A) \cup \Delta(O)$ . We have the following theorem.

**Theorem 1** ((**Hustadt et al., 2007**)). Let O be an extensionally reduced SHIQ ontology. Then: (1) for any literal concept assertion or literal role assertion ax,  $DD(O \cup \{ax\}) = DD(O) \cup \{ax\}$ ; (2) when O has no negated role assertions on complex roles, O is consistent if and only if DD(O) is satisfiable.

In the following, an example for the KAON2 method is shown.

**Example 2** Consider the ontology O given in Example 1. By compiling O through the KAON2 system, we obtain DD(O) which consists of the following rules (1)–(6).

Extraordinary(x) $\leftarrow$ Clever(x),Diligent(x).	(1)
Extraordinary(x) $\leftarrow$ isRewarded(x, y),Competition(y)	(2)
$Person(x) \leftarrow Extraordinary(x)$	(3)
Extraordinary(x) $\lor$ Ordinary(x) $\leftarrow$ Person(x)	(4)
Person(Tom) ←	(5)
Clever(Tom) ←	(6)

## 2.4 Equality Axiomatization

Our proposed method needs to call a Prolog engine to solve the reduced abduction problem in which the background theory is a plain datalog program. Since equational atoms occurring in rule heads have special semantics and existing Prolog engines do not particularly handle this semantics, we need to treat the equality predicate as an ordinary predicate through a standard method for axiomatizing equality (Fitting, 1996). This method is described below.

Let  $\pi(\mathbf{P})$  denote the disjunctive datalog program obtained from a disjunctive datalog program with equality  $\mathbf{P}$  by replacing the equality predicate  $\approx$  with a new ordinary predicate eq, and  $\mathbf{P}_{\approx}$  denote the plain datalog program consisting of the following rules.

$eq(a,a) \leftarrow$ . for every constant <i>a</i> occurring in P	(7)
$eq(y,x) \leftarrow eq(x,y)$ .	(8)
$eq(x,z) \leftarrow eq(x,y), eq(y,z).$	(9)
$T(x_1, \dots, y_i, \dots, x_n) \leftarrow T(x_1, \dots, x_i, \dots, x_n), eq(x_i, y_i)$ . for every	(10)

predicate T occurring in P except  $\approx$  and every position *i* in T The group of rules (7) ensures that *eq* is reflexive. Rule (8) ensures that *eq* is symmetric. Rule (9) ensures that *eq* is transitive. The group of rules (10) ensures that for every model M of  $\pi(\mathbf{P})$  and every predicate T occurring in P except  $\approx$ ,  $T(a_1,...,a_i,...,a_n) \in M$  and  $eq(a_i,b_i) \in M$  imply  $T(a_1,...,b_i,...,a_n) \in M$ . It is clear that M is a model of P if and only if M is an interpretation of  $\pi(\mathbf{P}) \cup \mathbf{P}_{\approx}$  such that  $\mathsf{body}(r) \subseteq M$  implies  $\mathsf{head}(r) \cap M \neq \emptyset$  for all rules  $r \in G(\pi(\mathbf{P}) \cup \mathbf{P}_{\approx})$ . The disjunctive datalog program without equality  $\pi(\mathbf{P}) \cup \mathbf{P}_{\approx}$ , in which the equality predicate does not appear, is said to be obtained from P by axiomatizing equality.

## 3. A NEW PROBLEM FOR ABOX ABDUCTION

We derive a new problem for ABox abduction from the area of logic-based abduction (Eiter & Gottlob, 1995; Kakas et al., 1998). In this area, an abduction problem is usually defined as a problem of computing all minimal sets  $\Delta$  of sentences w.r.t. a background theory T and an observation G, such that  $\Delta$  does not entail G, but  $T \cup \Delta$  entails G and  $T \cup \Delta$  is consistent. A computed set  $\Delta$  is often restricted to a special pre-specified class of sentences called abducibles, so as to provide appropriate modes to enforce entailment of an observation (Kakas et al., 1998). Inspired from this idea, we propose the following problem for ABox abduction, in which abducible predicates are introduced to give users flexibility to formulate the explanations for an observation.

**Definition 1 (ABox Abduction).** Given a DL ontology O, a finite set A of abducible predicates which are arbitrary concepts or roles, and an observation G which is a finite set of concept or role assertions, an abductive solution for (O, A, G) is a subset-minimal (simply minimal) set  $\Delta$  of ABox axioms such that all ABox axioms in  $\Delta$  are directly composed of individual names in O and concepts or roles in A,  $\Delta \models G$ ,  $O \cup \Delta \models G$  and  $O \cup \Delta$  is consistent. The ABox abduction problem defined by (O, A, G) is to compute all abductive solutions for (O, A, G).

A simple example of the proposed problem is shown below.

**Example 3.** Consider the ontology O given in Example 1. Let the set of abducible predicates be  $A = \{\text{Clever}, \text{Diligent}, \text{Extraordinary}\}$  and the observation be  $G = \{\text{Extraordinary}(\text{Tom})\}$ . Then there is only one abductive solution for (O, A, G), namely  $\{\text{Diligent}(\text{Tom})\}$ .  $\{\text{Extraordinary}(\text{Tom})\}$  is not an abductive solution because  $\{\text{Extraordinary}(\text{Tom})\}|=G$ .  $\{\text{Clever}(\text{Tom}), \text{Diligent}(\text{Tom})\}$  is not either because it is not minimal.

The proposed problem (simply called problem A) mainly differs from the problem proposed in (Klarman et al., 2011) (simply called problem B) in using a finite set of abducible predicates. In problem B, abductive solutions can be on an infinite set of ALE concepts or roles, where ALE is a DL obtained from ALC by disallowing non-atomic negation and disjunction. In problem A, abductive solutions can be on more expressive DL concepts. The current problem A has extended its original one proposed in the conference paper (Du et al., 2011) by allowing arbitrary concepts or roles as abducible predicates and negated role assertions as observations. Problem A may be inferior to problem B when abducible predicates are not appropriately set. On the one hand, when an instance of problem B has abductive solutions, its counterpart of problem A may not have. For example, given  $O = \{\forall hasChild.Good Happy\}$  and  $G = \{\text{Happy}(\text{Amy})\}$ , the corresponding instance of problem B has an abductive solution in O, namely {\VhasChild.Good(Amy)}, but its counterpart of problem A has not unless VhasChild.Good is set as an abductive predicate. On the other hand, the representation of abductive solutions in problem A may be less concise than its counterpart in problem B. For example, given  $O = \{\exists has Father.\}$ Person,  $\neg$ hasFather $(a_1, a_1)$ , Person $(a_2)$ , ..., **Person** $(a_n)$ },  $A = \{\text{hasFather}\}$  and  $G = \{\text{Person}(a_n)\}$ , the corresponding instance of problem A has n-1 abductive solutions {hasFather $(a_1, a_2)$ }, ..., {hasFather $(a_1, a_n)$ } in O, while its counterpart of problem B has only one, i.e.  $\{\exists has Father. (a_1)\}$ .

Despite of the above potential disadvantages, problem A has intrinsic merits that are lacking in problem B. First, the number of abductive solutions is finite because the number of possible axioms in an abductive solution is at most  $|A_c||N_1| + |A_r||N_1|^2$ , where  $A_c$ ,  $A_r$  and  $N_1$  are respectively the set of concepts in A, the set of roles in A, and the set of individual names in O. Second, the minimality of candidate abductive solutions can be simply determined by setinclusion checking, rather than by the complex renaming and entailment checking which are used in the method for problem B (Klarman et al., 2011). Since termination and efficiency are crucial for practical ABox abduction, we propose problem A as the fundamental problem for practical ABox abduction.

# 4. COMPUTING ALL ABDUCTIVE SOLUTIONS

Although the number of abductive solutions is finite, a brute-force search method for computing all abductive solutions is impractical because the search space, namely the set of candidate solutions, has a size exponential in  $|A_c||N_1|+|A_r||N_1|^2$ . Hence we consider state-of-the-art abduction systems, such as CIFF (Mancarella et al., 2009) and A -system (Kakas et al., 2001). These systems compute minimal results in a top-down manner, recursively using goals to direct the search and prune search space. To adapt the top-down manner to computing abductive solutions in ABox abduction, we need to confine the background ontology as a syntactic variant of a plain datalog program, because existing practical methods for computing minimal results, such as the ones implemented in CIFF and A -system, only work on plain datalog programs with negation-as-failure. To make the adaptation work for common DLs (e.g. SHOIQ) that cannot be translated to plain datalog, we consider approximate translations which are derived from the KAON2 method (Hustadt et al., 2007). As described in subsection 2.3, the KAON2 method compiles an extensionally reduced SHIQ ontology O to a disjunctive datalog program with equality DD(O).

Let P be a disjunctive datalog program (possibly with equality), A be a set of atomic concepts or atomic roles, and G be a set of atomic concept assertions or atomic role assertions. Corresponding to Definition 1, we also define an abductive solution for (P, A, G) as a minimal set of  $\Delta$  of ground atoms such that all ground atoms in  $\Delta$  are directly composed of constants in P and predicates in A,  $\Delta \models G$ ,  $P \cup \Delta \models G$  and  $P \cup \Delta$  is consistent, where atomic concepts and atomic roles are treated as predicates, and atomic concept assertions and atomic role assertions are treated as ground atoms. By Theorem 1, we have a correspondence between abductive solutions for (DD(O), A, G) and abductive solutions for (O, A, G), as shown in Theorem 2. Note that an abductive solution for (DD(O), A, G) may contain constants not corresponding to individual names in O, i.e. the constants of the form  $a_f$  introduced in the fifth step of the KAON2 method (see subsection 2.3), thus Theorem 2 only considers abductive solutions for (DD(O), A, G) that are ABox axioms; this means that all constants appearing in these abductive solutions correspond to individual names in O.

**Theorem 2.** Let O be an extensionally reduced SHIQ ontology without negated role assertions on complex roles, A a set of atomic concepts or atomic roles, and G a set of atomic concept assertions or atomic role assertions on simple roles, then for any set  $\Delta$  of ABox axioms,  $\Delta$  is an abductive solution for (DD(O), A, G) if and only if it is an abductive solution for (O, A, G).

*Proof.* We show that (\*) for any set  $\Delta$  of ABox axioms on concepts or roles in A,  $DD(O) \cup \Delta$  is satisfiable and entails  $G \Leftrightarrow O \cup \Delta$  is consistent and entails  $G . (\Leftarrow)$  Since  $O \cup \Delta$  is consistent,  $DD(O \cup \Delta)$  is satisfiable, so  $DD(O) \cup \Delta = DD(O \cup \Delta)$  is also satisfiable. Let ax be any ABox axiom in G. Since  $O \cup \Delta \models \{ax\}$ ,  $O \cup \Delta \cup \{\neg ax\}$  is inconsistent. Since  $O \cup \Delta \cup \{\neg ax\}$  does not contain any negated role assertion on complex roles,  $DD(O \cup \Delta \cup \{\neg ax\})$  is unsatisfiable. Hence,  $DD(O \cup \Delta) \cup \{\neg ax\} = DD(O \cup \Delta \cup \{\neg ax\})$  is also unsatisfiable, and thus  $DD(O \cup \Delta) \models \{ax\}$ . It

follows that  $DD(O \cup \Delta) \models G$ . ( $\Rightarrow$ ) Since  $DD(O) \cup \Delta$  is satisfiable,  $DD(O \cup \Delta) = DD(O) \cup \Delta$  is also satisfiable, so  $O \cup \Delta$  is satisfiable. Let *ax* be any ABox axiom in *G*. Since  $DD(O) \cup \Delta \models \{ax\}, DD(O) \cup \Delta \cup \{\neg ax\}$  is unsatisfiable, so

 $DD(O \cup \Delta \cup \{\neg ax\}) = DD(O) \cup \Delta \cup \{\neg ax\} \text{ is also unsatisfiable. Since } O \cup \Delta \cup \{\neg ax\} \text{ does not contain any negated role assertion on complex roles, } O \cup \Delta \cup \{\neg ax\} \text{ is inconsistent, so } O \cup \Delta \mid = \{ax\}. \text{ It follows that } O \cup \Delta \mid = G.$ 

(1) Let  $\Delta$  be an abductive solution for (O, A, G), then  $\Delta \models G$  and  $O \cup \Delta$  is consistent and entails G. By (\*), DD(O)  $\cup \Delta$  is consistent and entails G. Suppose  $\Delta$  is not an abductive solution for (DD(O), A, G), then there is an abductive solution  $\Delta'$  for (DD(O), A, G) such that  $\Delta' \subset \Delta$ . By (\*) again,  $O \cup \Delta'$  is consistent and entails G. Moreover,  $\Delta' \models G$  and all concepts or roles occurring in  $\Delta'$  are in A, contradicting that  $\Delta$  is an abductive solution for (O, A, G).

(2) Let  $\Delta$  be a set of ABox axioms and an abductive solution for (DD(O), A, G), then  $\Delta \models G$  and  $DD(O) \cup \Delta$  is consistent and entails  $G \cdot By(*)$ ,  $O \cup \Delta$  is consistent and entails  $G \cdot Suppose \Delta$  is not an abductive solution for (O, A, G), then there is an abductive solution  $\Delta'$  for (O, A, G) such that  $\Delta' \subset \Delta \cdot By(*)$  again,  $DD(O) \cup \Delta'$  is consistent and entails G. Moreover,  $\Delta' \models G$  and all concepts or roles occurring in  $\Delta'$  are in A, contradicting that  $\Delta$  is an abductive solution for  $(DD(O), A, G) \cdot W$ 

The above theorem shows that for some restricted class of the proposed problem, the original problem can be reduced to the problem of computing all abductive solutions in the reduced disjunctive datalog program with equality. Hence, we first propose a method for this restricted class, and then extend it to address the full class of the proposed problem.

## 4.1. The Method for the Restricted Class

Throughout this subsection, let O denote an extensionally reduced SHIQ ontology without negated role assertions on complex roles, A a set of atomic concepts or atomic roles, and G a set of atomic concept assertions or atomic role assertions on simple roles. In order to compute abductive solutions for (O, A, G), by Theorem 2 we seek methods for computing abductive solutions for (DD(O), A, G).

Considering that two state-of-the-art abduction systems CIFF (Mancarella et al., 2009) and A -system (Kakas et al., 2001) are built on Prolog engines, we intend to encode the problem of computing all abductive solutions for (DD(O), A, G) into a Prolog program and solve it with Prolog engines. Note that we do not directly apply CIFF or A -system to solve the abduction problem on (DD(O), A, G), because currently CIFF and A -system cannot guarantee termination in handling cyclic logic programs, whereas DD(O) can have cycles between predicates (e.g., the disjunctive datalog program given in Example 2 has a cycle on predicates Person and Extraordinary). Hence, we turn to encode the abduction problem on (DD(O), A, G) into a Prolog program and apply a state-of-the-art Prolog engine, B-Prolog (<u>http://www.probp.com/</u>), to solve it. B-Prolog supports linear tabling (Shen et al., 2001), which is an efficient way to guarantee termination in handling cycles.

The equality predicate  $\approx$  should be axiomatized when it occurs in some rule heads in DD(O), because B-Prolog does not treat it as a congruence relation. As described in subsection 2.4, the equality predicate  $\approx$  can be axiomatized by using a standard method (Fitting, 1996). Let DD'(O) be obtained from DD(O) by axiomatizing equality if necessary. That is, DD'(O) is converted from DD(O) using the method described in subsection 2.4, if the equality predicate  $\approx$  occurs in some rule heads in DD(O), or is directly copied from DD(O) otherwise. Consider Example 2. Since the equality predicate does not occurs in any rule head in DD(O), DD'(O) is the same as DD(O).

In the following, we present a method for encoding (DD'(O), A, G) into a Prolog program, which consists of four steps.

In the first step, the observation G is encoded into a Prolog rule with a nullary head atom go, where every ground atom  $\alpha \in G$  is encoded as a body atom with an extra argument, which is a list storing a set of ground atoms that are added to DD'(O) to enforce entailment of  $\alpha$ . A list L is of the form  $[t_1,...,t_n]$ , where  $t_i$  is of the form  $(a, "rdf:type", p_A)$  or  $(a, p_r, b)$ . It can be decoded into a set of ABox axioms  $\{t'_1, ..., t'_n\}$ , denoted by decode(L), where  $t'_i$  is rewritten from  $t_i$  by rewriting  $(a, "rdf:type", p_T)$  to a concept assertion T(a) and  $(a, p_r, b)$  to a role assertion r(a,b). Note that every predicate in DD'(O) is rewritten to a Prolog predicate with the prefix "p\_", because the Prolog syntax capitalizes the first letter for variables only. Following the first body atom encoded from ground atoms in G, a body atom of the form check(L) is added to the encoded Prolog rule, where check(L) returns true if and only if none of the subsets of L has been output, which is used to prune non-minimal sets of added ground atoms. Then, following every other body atom encoded from ground atoms in G, two body atoms of the form  $union(L_1, L_2, L)$  and check(L) are added to the encoded Prolog rule, where  $union(L_1, L_2, L)$  sets L as the union of  $L_1$  and  $L_2$  and returns true, which is used to yield the union of all sets of added ground atoms. Following all the above body atoms, two body atoms output(L) and fail are also added to the encoded Prolog rule, where output(L) outputs L and returns true, while fail forces the Prolog engine to enumerate all possible instantiations of extra arguments when go is called. For example, the observation {Extraordinary(Tom), Person(Tom)} is encoded into the following Prolog rule.

 $go := p_Extraordinary("Tom", L_1), check(L_1)$ ,

 $p_Person("Tom", L_2), union(L_1, L_2, L), check(L), output(L), fail.$ 

In the second step, every definite rule in DD'(O) is encoded into a Prolog rule. In more details, every atom  $\alpha$  occurring in DD'(O) is encoded into a Prolog atom with an extra argument, which is a list storing a set of ground atoms that are added to DD'(O) to enforce entailment of a ground instance of  $\alpha$ . When  $\alpha$  has variables, the extra argument is written as a variable because the corresponding list is different for different ground instances of  $\alpha$ , otherwise the extra argument is written as the empty list [] since  $\alpha \leftarrow$  is a ground fact in DD'(O) and  $\alpha$  is entailed by DD'(O). Likewise, following the first body atom (resp. every other body atom) encoded from body atoms in the original rule in DD'(O), a body atom of the form check(L) (resp. two body atoms of the form  $union(L_1, L_2, L)$  and check(L)) is added to the encoded

Prolog rule. Consider Example 2, all rules except rule (4) are encoded in this step. For example, rules (2), (3) and (6) are encoded into the following Prolog rules.

p\_Extraordinary(X, L) :- p\_isRewarded( $X, Y, L_1$ ), *check*( $L_1$ ),

p\_Competition( $Y, L_2$ ), union( $L_1, L_2, L$ ), check(L).

 $p_Person(X, L) := p_Extraordinary(X, L), check(L)$ .

p\_Clever("Tom",[]).

In the third step, every predicate T in A is encoded into a Prolog rule, which consists of a head atom and n body atoms, specifying that adding a ground atom to DD'(O) enforces entailment of this ground atom, where n = 1 if T is an atomic concept, or n = 2 if T is an atomic role. The head atom is composed by T and n+1 arguments, where the last argument is a singleton list storing an atom on T. Every body atom is of the form dom(X), which ensures X to be a constant in DD'(O). For example, the abducible predicates Diligent and isRewarded are encoded into the following two rules.

 $p_{\text{Diligent}}(X, [(X, "rdf:type", "Diligent")]) := dom(X).$ 

 $p_isRewarded(X, Y, [(X, "isRewarded", Y)]) := dom(X), dom(Y).$ 

In the last step, all Prolog predicates occurring in cycles in the set of generated Prolog rules are declared to be tabled predicates. Declaring a Prolog predicate to be tabled means that any Prolog atom on this predicate is prevented from calling multiple times. The declaration of tabled predicates is supported by B-Prolog. This is a crucial step for guaranteeing termination when calling Prolog atoms in the encoded Prolog program.

There are two remarks on the aforementioned encoding method. First, rules in DD'(O) that have more than one head atom cannot be encoded into Prolog rules, thus they are ignored. Second, although constraints in DD'(O) can be encoded into Prolog rules with some special treatments, they are only used to determine whether an output solution is consistent with the background theory. Since this consistency checking implemented in B-Prolog is based on brute-force search and is generally less efficient than consistency checking in modern DL reasoners, constraints in DD'(O) are also ignored and consistency checking is performed by calling external DL reasoners.

Let prolog(DD'(O), A, G) denote the set of lists output by the Prolog program encoded from (DD'(O), A, G) when calling *go*. The following theorem shows that all abductive solutions for (DD(O), A, G) can be extracted from prolog(DD'(O), A, G) when DD'(O) is a plain datalog program.

**Theorem 3.** If DD(O) is a plain datalog program (possibly with equality), then the set of abductive solutions for (DD(O), A, G) is the set of minimal sets in

 $\{ decode(L) \mid L \in prolog(DD'(O), A, G), decode(L) \not\models G, O \cup decode(L) \text{ is consistent} \}.$ *Proof.* Note that DD'(O) is a plain datalog program without equality. The encoded Prolog program searches and only outputs all lists L such that DD'(O) \cup decode(L) entails G and every ground atom in decode(L) is on atomic concepts or atomic roles in A. It must output all minimal ones among all these lists. Let  $S = \{decode(L) \mid L \in prolog(DD'(O), A, G), decode(L) \mid \neq G, O \cup decode(L) \text{ is consistent} \}.$  (1) Let  $\Delta$  be a minimal set in S, then  $\Delta$  is a set of ground atoms on atomic concepts or atomic roles in A such that  $DD'(O) \cup \Delta \models G$ , i.e.,  $DD(O) \cup \Delta \models G$ . Since  $O \cup \Delta$  is consistent, by Theorem 1,  $DD(O) \cup \Delta \models DD(O \cup \Delta)$  is satisfiable. Hence,  $\Delta$  is an abductive solution for (DD(O), A, G).

(2) Let  $\Delta$  be an abductive solution for (DD(O), A, G), then  $DD'(O) \cup \Delta \models G$  and there is not any proper subset  $\Delta'$  of  $\Delta$  such that  $DD'(O) \cup \Delta' \models G$ . Hence, there is a list L output by the encoded Prolog program such that  $decode(L) = \Delta$ . By Theorem 2,  $\Delta$  is also an abductive solution for (O, A, G), thus  $O \cup \Delta$  is consistent. Suppose  $\Delta$  is not a minimal set in S. Since  $\Delta \models G$  and  $O \cup \Delta$  is consistent, there must be a minimal set  $\Delta'$  in S such that  $\Delta' \subset \Delta$ . But then by (1),  $\Delta'$  is an abductive solution for (DD(O), A, G), contradiction. W

Based on Theorem 2 and Theorem 3, we can obtain a method which computes the set of all minimal sets in  $\{ decode(L) | L \in prolog(DD'(O), A, G), decode(L) | \neq G, O \cup decode(L) \text{ is consistent} \}$  that are also sets of ABox axioms. The resulting set is actually the complete set of abductive solutions. However, this method only guarantees sound and complete results for a restricted class of the proposed problem. Moreover, it is impractical when DD(O) has equational head atoms. In this case, axiomatizing equality is needed, implying that DD'(O) will have some rules like  $T(y) \leftarrow T(x), x \approx y$ . These rules are hard to handle by the encoded Prolog program since every predicate occurring in them appear in cycles. Our experimental results also confirm that these rules easily make ABox abduction fail. In the next subsection, we propose a general method to tackle all the above issues.

### 4.2. The Method for the Full Class

Throughout this subsection, let O denote an arbitrary SHOIQ ontology, A a finite set of arbitrary concepts or roles, and G a finite set of concept assertions or role assertions. That is, (O, A, G) represents the full class of the proposed problem where SHOIQ is treated as the most expressive DL.

The key idea for applying Prolog engines to compute abductive solutions for (O, A, G) is to transform (O, A, G) to some (P, A', G') which can be encoded to a Prolog program using the method described in the previous subsection, i.e., P is a plain datalog program, A' is a set of atomic concepts or atomic roles, and G' is a set of atomic concept assertions or atomic role assertions. Suppose there is a one-to-one mapping function f that maps concepts or roles in A' to concepts or roles in A. Given a set  $\Delta$  of ABox axioms on concepts or roles in A', by  $f(\Delta)$ we simply denote the set of ABox axioms obtained from  $\Delta$  by replacing every concept or role T occurring in  $\Delta$  with f(T). Suppose  $P \cup \Delta \models G'$  implies  $O \cup f(\Delta) \models G$  for all sets  $\Delta$  of ABox axioms on concepts or roles in A', then for every set  $\Delta$  of ABox axioms on concepts or roles in A' such that  $P \cup \Delta \models G'$ , some subsets of  $f(\Delta)$  can possibly be abductive solutions for (O, A, G). Hence, we can first compute all sets  $\Delta$  of ABox axioms on concepts or roles in A' such that  $P \cup \Delta \models G'$  by the Prolog program encoded from (P, A', G'), then extract abductive solutions for (O, A, G) from  $f(\Delta)$ .

Based on the above idea, we develop a method for computing abductive solutions for (O, A, G). In order to transform (O, A, G) to (P, A', G') such that (\*)  $P \cup \Delta \models G'$  implies  $O \cup f(\Delta) \models G$  for all sets  $\Delta$  of ABox axioms on concepts or roles in A', we need to normalize (O, A, G) to (O', A', G') first, where O' is an extensionally reduced ontology, A' is a set of atomic concepts or atomic roles, and G' is a set of atomic concept assertions or atomic role assertions. Since this is a normalization step, we also need to ensure that for all sets  $\Delta$  of ABox axioms on concepts or roles in A',  $\Delta$  is an abductive solution for (O', A', G') if and only if  $f(\Delta)$  is an abductive solution for (O, A, G), where f is a one-to-one mapping function from concepts or roles in A' to concepts or roles in A. Then, in order to convert (O', A', G') to (P, A', G')A', G'), we consider existing methods for transforming DLs to plain datalog. The KAON2 method is the best choice because it has efficient implementation and preserves consequences when compiling very expressive DLs to disjunctive datalog. To apply the KAON2 method, we need to weaken O' to a SHIQ ontology. Moreover, we require that the disjunctive datalog program compiled by the KAON2 method should have no equational head atoms; otherwise the axiomatization of equality is needed and will introduce many cyclic rules that heavily impair the efficiency of subsequent steps. Hence, we weaken O' to O'' such that DD(O'') can be computed by the KAON2 method and has no equational head atoms. As a weakening step, we need to ensure that  $O'' \cup \Delta \models G'$  implies  $O' \cup \Delta \models G'$  for all sets  $\Delta$  of ABox axioms on concepts or roles in A'. Afterwards, we compile DD(O'') from O'' and then modify it to a plain datalog program P by removing non-definite rules and adding more definite rules to make the ultimate results more complete. To achieve the aforementioned condition (\*), we need to ensure that  $\mathbf{P} \cup \Delta \models G'$  implies  $\mathbf{O}' \cup \Delta \models G'$  for all sets  $\Delta$  of ABox axioms on concepts or roles in A'.

To summarize, the proposed method for computing all abductive solutions for (O, A, G) consists of five steps. In the first step, (O, A, G) is normalized to (O', A', G'). In the second step, O' is weakened to O''. In the third step, O'' is compiled to DD(O'') and DD(O'') is then modified to P. In the fourth step, a Prolog program is encoded from (P, A', G') using the method described in the previous subsection, and then go is called. In the last step, abductive solutions for (O, A, G) are extracted from decode(L) for every list L output by the encoded Prolog program. More details on these steps are given in the following.

## **4.2.1 Normalizing** (O, *A*, *G*)

In the first step, we need to normalize (O, A, G) to (O', A', G') such that for all sets  $\Delta$  of ABox axioms on concepts or roles in A',  $\Delta$  is an abductive solution for (O', A', G') if and only if  $f(\Delta)$  is an abductive solution for (O, A, G), where f is a one-to-one mapping function from concepts or roles in A' to concepts or roles in A. To achieve this goal, O' may not be kept as a SHOIQ ontology. For example, suppose  $\neg r$  is a role in A and  $\neg s(a,b)$  is a role assertion in G, where both r and s are atomic roles. To obtain A' and G', we introduce a fresh atomic role  $Q_{\neg r}^{\dagger}$  for  $\neg r$  and another fresh atomic role  $Q_{\neg s}^{P}$  for  $\neg s$ . To make G' hold, we need to guarantee the traditional forward inference from ABox axioms on A' to G'. This inference involves an inference from ABox axioms on A' to ABox axioms on A, an inference from ABox axioms on A to G, and an inference from G to G'. Hence, we need to introduce two axioms  $Q_{\neg r}^{\dagger} = \neg r$  and  $\neg s = Q_{\neg s}^{P}$  for  $Q_{\neg r}^{\dagger}$  and  $Q_{\neg s}^{P}$ , respectively, to make the inference from ABox axioms on A' to G' work.

We call an axiom of the form  $s \neg r$  or  $\neg s r$  (where s and r are non-negated roles) a negated role inclusion axiom. A SHOIQ ontology does not include negated role inclusion axioms, thus O' may not be expressed in SHOIQ. We extend the semantics of SHOIQ to the semantics of SHOIQ with negated role inclusion axioms. We say an interpretation I satisfies  $s \neg r$  if  $s^{I} \cap r^{I} = \emptyset$ ; satisfies  $\neg s r$  if  $s^{I} \cup r^{I} = \Delta^{I}$ . Then a model of O' is still defined as an interpretation that satisfies all axioms in O'.

The pseudo-code for this step is given in Algorithm 1 below. Line 1 eliminates all inverse roles in O and G. Lines 3–16 normalize A to A' by introducing a set of fresh predicates (which are atomic concepts or roles) and adding axioms that maintain the correspondence between fresh predicates and original predicates. Lines 17–24 normalize G to G' in a similar way as normalizing A. Lines 25–28 compute O' that is the union of an ontology extensionally reduced from O and the set of previously added axioms.

Algorithm 1. Normalize(O, A, G)

**Input:** A SHOIQ ontology O, a set A of concepts or roles, and a set G of concept or role assertions.

**Output:** An extensionally reduced SHOIQ ontology O' possibly with negated role inclusion axioms, a set A' of atomic concepts or atomic roles, and a set G' of atomic concept or role assertions.

1: for each role assertion of the form r(a,b) or  $\neg r(a,b)$  in G or O where r is an atomic role **do** Replace  $r^{-}(a,b)$  with r(b,a) and  $\neg r^{-}(a,b)$  with  $\neg r(b,a)$ ;  $O' \leftarrow \emptyset; A' \leftarrow \emptyset; G' \leftarrow \emptyset;$ 2: for each concept or role T in A do 3: 4: if T is of the form  $r^-$  where r is an atomic role then 5:  $A' \leftarrow A' \cup \{Q_{r}^{\dagger}\}$  where  $Q_{r}^{\dagger}$  is a fresh atomic role; 6:  $O' \leftarrow O' \cup \{Q^{\dagger}, r^{-}\};$ else if T is of the form  $\neg r$  where r is an atomic role then 7:  $A' \leftarrow A' \cup \{Q_{\neg r}^{\dagger}\}$  where  $Q_{\neg r}^{\dagger}$  is a fresh atomic role; 8: 9:  $O' \leftarrow O' \cup \{Q_{\neg r}^{\dagger} \quad \neg r\};$ 10: else if T is of the form  $\neg r^{-}$  where r is an atomic role then 11:  $A' \leftarrow A' \cup \{Q_{\neg r}^{\dagger}\}$  where  $Q_{\neg r}^{\dagger}$  is a fresh atomic role;  $O' \leftarrow O' \cup \{Q_{\neg r^-}^{\dagger} \quad \neg r^-\};$ 12: 13: else if T is of the form C where C is not an atomic concept then 14:  $A' \leftarrow A' \cup \{Q_C^{\dagger}\}$  where  $Q_C^{\dagger}$  is a fresh atomic concept; 15:  $O' \leftarrow O' \cup \{Q_C^{\dagger} \quad C\};$ else  $A' \leftarrow A' \cup \{T\}$ ; 16: 17: for each concept assertion or role assertion ax in G do 18: if ax is of the form  $\neg r(a,b)$  where r is an atomic role then

19:  $G' \leftarrow G' \cup \{Q_{\neg r}^{\mathbb{P}}(a,b)\}$  where  $Q_{\neg r}^{\mathbb{P}}$  is a fresh atomic role;

20:  $\mathbf{O}' \leftarrow \mathbf{O}' \cup \{\neg r \quad Q_{\neg r}^{\mathsf{P}}\};$ 

21: else if ax is of the form C(a) where C is not an atomic concept then

22:  $G' \leftarrow G' \cup \{Q_C^{\mathbb{P}}(a)\}$  where  $Q_C^{\mathbb{P}}$  is a fresh atomic concept;

23:  $\mathbf{O}' \leftarrow \mathbf{O}' \cup \{C \quad Q_C^{\mathsf{p}}\};$ 

24: **else**  $G' \leftarrow G' \cup \{ax\};$ 

- 25: for each ABox axiom ax in O do
- 26: **if** ax is of the form C(a) where C is not a literal concept **then**

27:  $O' \leftarrow O' \cup \{Q_C(a)\} \cup \{Q_C \ C\}$  where  $Q_C$  is a globally unique fresh atomic concept for C;

28: else  $O' \leftarrow O' \cup \{ax\};$ 

29: **return** (O', A', G');

Let f be a one-to-one mapping function on all concepts or roles  $T \in A'$  such that  $f(T) = r^-$  if T is of the form  $Q_{r^-}^{\dagger}$ ,  $f(T) = \neg r$  if T is of the form  $Q_{\neg r^-}^{\dagger}$ ,  $f(T) = \neg r^-$  if T is of the form  $Q_{\neg r^-}^{\dagger}$ , f(T) = C if T is of the form  $Q_C^{\dagger}$ , or f(T) = T otherwise. By  $f(\Delta)$  we simply denote the set of ABox axioms obtained from a set  $\Delta$  of ABox axioms by replacing every concept or role T occurring in  $\Delta$  with f(T). Let (O', A', G') be returned by Normalize(O, A, G), then we have the following lemma.

**Lemma 1** For any set  $\Delta$  of ABox axioms on concepts or roles in A',  $\Delta$  is an abductive solution for (O', A', G') if and only if  $f(\Delta)$  is an abductive solution for (O, A, G). *Proof.* Let O<sup>†</sup> and G<sup>†</sup> be obtained from O and G by replacing  $r^{-}(a,b)$  with r(b,a) and  $\neg r^{-}(a,b)$  with  $\neg r(b,a)$  for every atomic role r, then clearly (O<sup>†</sup>, A,  $G^{†}$ ) has the same set of abductive solutions as (O, A, G) has. Let  $\Delta$  be an arbitrary set of ABox axioms on concepts or roles in A'. We only need to show that  $\Delta$  is an abductive solution for (O', A', G') if and only if  $f(\Delta)$  is an abductive solution for (O<sup>†</sup>, A, G<sup>†</sup>).

Let *h* be a one-to-one mapping function on all concepts or roles *T* appearing in  $G^{\dagger}$  such that  $h(T) = \neg r$  if *T* is of the form  $Q_{\neg r}^{p}$ , h(T) = C if *T* is of the form  $Q_{C}^{p}$ , or h(T) = T otherwise. We first show that (\*) for any axiom  $T(t) \in G'$  and any set  $\Delta$  of ABox axioms on concepts or roles in A',  $O' \cup \Delta \models T(t) \Leftrightarrow O^{\dagger} \cup f(\Delta) \models h(T)(t)$ . For any interpretation **I**, by  $t^{I}$  we simply denote  $(a^{I}, b^{I})$  when t is a pair made up of *a* and *b*, or denote  $a^{I}$  when t is a singleton *a*. ( $\Rightarrow$ ) Suppose  $O' \cup \Delta \models T(t)$ . Consider an arbitrary model **I** of  $O^{\dagger} \cup f(\Delta)$ . **I** can be expanded to a model **I**' of  $O' \cup \Delta$  such that  $T^{I'} = h(T)^{I'} = h(T)^{I}$  for every concept or role *T* appearing in *G'*, and  $a^{I'} = a^{I}$  for every individual *a* in O. Since  $O' \cup \Delta \models T(t)$ , we have  $t^{I'} \in T^{I'}$  and thus  $t^{I'} \in h(T)^{I}$ . It follows that  $O^{\dagger} \cup f(\Delta) \models h(T)(t)^{I'}$ . ( $\Leftarrow$ ) Suppose  $O^{\dagger} \cup f(\Delta) \models h(T)(t)^{I'}$ . Consider an arbitrary model **I** of  $O' \cup \Delta \models T(t)^{I'}$ , we have  $t^{I'} \in T^{I'}$  and thus  $t^{I'} \in h(T)^{I'}$ . It follows that  $O^{\dagger} \cup f(\Delta) \models h(T)(t)^{I'}$ . ( $\Leftarrow$ ) Suppose  $O^{\dagger} \cup f(\Delta) \models h(T)(t)^{I'}$ . Consider an arbitrary model **I** of  $O' \cup \Delta \models T(t)^{I'} = t^{I'}$  for every for the projection of **I** on the signature of  $O^{\dagger} \cup f(\Delta)$ , then **I**' is a model of  $O^{\dagger} \cup f(\Delta)$ ,  $h(T)^{I'} = h(T)^{I'} \subseteq T^{I'}$  for every concept or role *T* appearing in *G'*, and  $a^{\mathbf{I}'} = a^{\mathbf{I}}$  for every individual *a* in O. Since  $O^{\dagger} \cup f(\Delta) \models h(T)(t)$ , we have  $t^{\mathbf{I} \mathbf{I}'} \in h(T)^{\mathbf{I}'}$  and thus  $t^{\mathbf{I}} \in T^{\mathbf{I}}$ . It follows that  $O' \cup \Delta \models T(t)$ .

Suppose  $\Delta$  is an abductive solution for (O', A', G'), then  $O' \cup \Delta \models ax$  for all  $ax \in G'$ . By (\*) we have  $O^{\dagger} \cup f(\Delta) \models ax$  for all  $ax \in G^{\dagger}$ .  $f(\Delta)$  must be an abductive solution for  $(O^{\dagger}, A, G^{\dagger})$ . Otherwise, since  $O' \cup \Delta$  is consistent and so is  $O^{\dagger} \cup f(\Delta)$ , there must exist  $\Delta' \subset f(\Delta)$ such that  $O^{\dagger} \cup \Delta' \models ax$  for all  $ax \in G^{\dagger}$ . By (\*) we have  $O' \cup f^{-}(\Delta') \models ax$  for all  $ax \in G'$ . But then  $f^{-}(\Delta') \subset \Delta$ , contradicting that  $\Delta$  is an abductive solution for (O', A', G').

Suppose  $\Delta$  is an abductive solution for  $(O^{\dagger}, A, G^{\dagger})$ , then  $O^{\dagger} \cup \Delta \models ax$  for all  $ax \in G$ . By (\*) we have  $O' \cup f^{-}(\Delta) \models ax$  for all  $ax \in G'$ .  $f^{-}(\Delta)$  must be an abductive solution for (O', A', G'). Otherwise, since  $O^{\dagger} \cup \Delta$  is consistent and so is  $O' \cup f^{-}(\Delta)$ , there must exist  $\Delta' \subset f^{-}(\Delta)$ such that  $O' \cup \Delta' \models ax$  for all  $ax \in G'$ . By (\*) we have  $O^{\dagger} \cup f(\Delta') \models ax$  for all  $ax \in G^{\dagger}$ . But then  $f(\Delta') \subset \Delta$ , contradicting that  $\Delta$  is an abductive solution for  $(O^{\dagger}, A, G^{\dagger})$ . W

An example for this step is given below.

**Example 4** Consider computing all abductive solutions for (O, A, G), where O is the ontology given in Example 1,  $A = \{\neg \text{Extraordinary}\}\$  and  $G = \{\text{Ordinary}(\text{Tom})\}$ . (O, A, G) is normalized to (O', A', G'), where  $A' = \{Q^{\dagger}_{\neg \text{Extraordinary}}\}\$ , G' = G and  $O' = O \cup \{Q^{\dagger}_{\neg \text{Extraordinary}}\$   $\neg \text{Extraordinary}\}$ .

## 4.2.2 Weakening O'

In the second step, we need to weaken O' to O" such that DD(O") can be computed by the KAON2 method and has no equational head atoms. To do this, we first eliminate nominals, negated role inclusion axioms and equality assertions, then standardize every concept inclusion axiom C D to  $NNF(\neg C D)^{\top}$ , and finally remove all maximum number restrictions from every standardized axiom, where NNF(E) denotes the negation normal form of a concept E, which can be computed by standard methods e.g. given in (Hustadt et al., 2007). Note that there will be no equational head atom introduced when translating  $NNF(\neg C D)$  to first-order rules, if  $NNF(\neg C D)$  has no maximum number restrictions.

The pseudo-code for this step is given in Algorithm 2 below. Lines 1–3 eliminate nominals by introducing fresh atomic concepts. Line 4 eliminates negated role inclusion axioms. By now O' becomes a SHIQ ontology. Line 5 eliminates equality assertions. Lines 6–8 further rewrite every concept inclusion axiom C D to a semantically equivalent axiom NNF( $\neg C$  D) and eliminate all maximum number restrictions  $\leq_n R.E$  occurring in the right hand side of the resulting axiom, so that O' becomes a SHIQ ontology such that DD(O') does not contain any equational head atom.

## Algorithm 2. Weaken(O')

**Input:** An extensionally reduced SHOIQ ontology O' possibly with negated role inclusion axioms.

# Output: A SHIQ ontology O".

```
1: for each nominal \{a\} occurring in O' do
```

```
2: Replace \{a\} with C_a where C_a is a globally unique fresh atomic concept;
```

```
3: \mathbf{O}' \leftarrow \mathbf{O}' \cup \{C_a(a)\};
```

```
4: for each negated role inclusion axiom ax in O' do O' \leftarrow O' {ax};
```

```
5: for each equality assertion ax in O' do O' \leftarrow O' {ax};
```

- 6: for each concept inclusion axiom C D in O' do
- 7: Replace it with  $NNF(\neg C D)$ ;

8: Replace  $\leq_n R.E$  with for every maximum number restriction  $\leq_n R.E$  occurring in the right hand side of NNF( $\neg C D$ );

9: return O';

Let norm(O) denote the ontology obtained from an ontology O by replacing every concept inclusion axiom C D with NNF( $\neg C$  D). We call a SHIQ ontology O a SHIQ<sub>4</sub> ontology if norm(O) has no equality assertions and contains no maximum number restrictions in the right hand side of any concept inclusion axiom. Let O'' be returned by Weaken(O'), then O'' is a SHIQ<sub>4</sub> ontology. We have the following lemma.

**Lemma 2.** For any set  $\Delta$  of ABox axioms on concepts or roles in A',  $O' \cup \Delta \models G'$  if  $O'' \cup \Delta \models G'$ .

*Proof.* Let *ax* be an arbitrary atomic concept or role assertion in *G'*. When O" ∪  $\Delta \models G'$ , O" ∪  $\Delta \models \{ax\}$  and thus O" ∪  $\Delta \cup \{\neg ax\}$  is inconsistent. Let O<sup>#</sup> be the ontology obtained before line 4 and O<sup>P</sup> be the ontology obtained before line 6, then **M**(O<sup>#</sup>) and **M**(O') coincide on the signature of O', and **M**(O<sup>#</sup>) ⊆ **M**(O<sup>P</sup>). For any concept inclusion axiom *ax'* in **norm**(O<sup>P</sup>), let *w*(*ax'*) be obtained from *ax'* by replacing  $\leq_n R.C$  with for every maximum number restriction  $\leq_n R.C$  occurring in the right hand side of *ax'*, then **M**(*ax'*) ⊆ **M**(*w*(*ax'*)). Hence, **M**(**norm**(O<sup>P</sup>)) =  $\bigcap_{ax' \in \text{norm}(O^P)}$  **M**(*ax'*) ⊆  $\bigcap_{ax' \in O^P}$  **M**(*ax'*) = **M**(O") and thus **norm**(O<sup>P</sup>) ∪  $\Delta \cup \{\neg ax\}$  is inconsistent. Since **M**(O<sup>#</sup>) ⊆ **M**(O<sup>P</sup>) = **M**(**norm**(O<sup>P</sup>)), O<sup>#</sup> ∪  $\Delta \cup \{\neg ax\}$  is also inconsistent and thus O' ∪  $\Delta \models \{ax\}$ . It follows that O' ∪  $\Delta \models G'$ . W

# 4.2.3 Modifying DD(O")

In the third step, we need to compute DD(O'') and modify it to a plain datalog program P such that  $P \cup \Delta \models G'$  implies  $O' \cup \Delta \models G'$  for all sets  $\Delta$  of ABox axioms on concepts or roles in A'. As mentioned before, DD(O'') is compiled from O'' by applying the KAON2 method (Hustadt et al., 2007). However, DD(O'') may not contain all entailed definite rules, because the KAON2 method eliminates all redundant rules that do not impact the results of the subsequent resolution operations. The elimination of redundant definite rules may make the Prolog program encoded subsequently output nothing when calling go, as shown in the following example.

**Example 5.** Consider the normalized problem (O', A', G') given in Example 4. The step for weakening O' yields a semantically equivalent ontology O'' since O' is already a SHIQ<sub>4</sub> ontology. By compiling O'' through the KAON2 system, we obtain DD(O'') which consists of the rules (1)–(6) given in Example 2 and the following rule.

 $\leftarrow \mathsf{Extraordinary}(x), \mathsf{Q}_{\neg \mathsf{Extraordinary}}^{\dagger}(x) \,. \tag{11}$ 

The predicate Ordinary does not occur in the head of any definite rule in DD(O''), thus the Prolog program encoded from (DD(O''), A', G') does not output any list when called *go*, i.e., prolog(DD(O''), A', G') is empty.

The above example shows that the results of some resolution operations that involve a rule translated from an axiom of the form  $\neg P \quad Q_{\neg P}^{\mathsf{P}}, Q_{\neg P}^{\dagger} \quad \neg P$  or  $Q_{\neg P} \quad \neg P$  may be treated as redundant rules in the KAON2 method. These redundant rules are entailed by  $\mathsf{DD}(O'')$ , thus readding them to  $\mathsf{DD}(O'')$  does not impact the models of  $\mathsf{DD}(O'')$ . In other words, we can add to  $\mathsf{DD}(O'')$  any rules that are entailed by  $\mathsf{DD}(O'')$  while still keeping that  $\mathsf{DD}(O'') \cup \Delta \models G'$  implies  $O' \cup \Delta \models G'$  for all sets  $\Delta$  of ABox axioms on concepts or roles in A'. In this way  $P \cup \Delta \models G'$  still implies  $O' \cup \Delta \models G'$ , where P is the set of definite rules in  $\mathsf{DD}(O'')$ .

To make the presentation concise, we only present simple resolution operations that involve new concept names introduced in the normalization step for adding redundant rules that are entailed by DD(O''). These resolution operations can make many concept names appear in heads of definite rules, thus can compensate abductive solutions in many cases. To add more redundant rules that are entailed by DD(O''), we can apply other resolution operations exploited in the KAON2 method (Hustadt et al., 2007).

The pseudo-code for this step is given in Algorithm 3. Line 1 initializes the resulting plain datalog program P as DD(O''). For every rule R in DD(O''), lines 3–9 add to P the hyper-resolution result between R and as many as possible rules translated from axioms of the form  $Q_{\neg P}^{\dagger} \neg P$  or  $Q_{\neg P} \neg P$ . For every constraint R in P, lines 12–16 add to P every resolution result between R and a rule translated from axioms of the form  $\neg P \quad Q_{\neg P}^{\dagger}$ . Line 17 keeps only definite rules in P and returns it.

### **Algorithm 3.** Modify(DD(O<sup>"</sup>))

**Input:** A disjunctive datalog program without equality DD(O'').

Output: A plain datalog program without equality.

- 1:  $P \leftarrow \mathsf{DD}(O'');$
- 2: for each rule R in DD(O'') such that |head(R)| > 0 do
- 3: for every atom of the form P(x) in head(R) do
- 4: **if** *P* is a concept name and  $Q_{\neg P}^{\dagger}$  appears in DD(O'') **then**
- 5: Remove P(x) from the head of R and add  $Q_{\neg P}^{\dagger}(x)$  to the body of R;
- 6: else if P is a concept name and  $Q_{\gamma P}$  appears in DD(O'') then
- 7: Remove P(x) from the head of R and add  $Q_{\neg P}^{\dagger}(x)$  to the body of R;

- 8: else if P is of the form Q<sup>†</sup><sub>¬T</sub> or Q<sub>¬T</sub> where T is a concept name then
  9: Remove P(x) from the head of R and add T(x) to the body of R;
  10: Add to P the finally updated R;
  11: for each constraint R in P do
  12: for every atom of the form P(x) in body(R) do
  13: if P is a concept name and Q<sup>P</sup><sub>¬P</sub> appears in DD(O") then
  Add to P the rule obtained from R by removing P(x) from the body and
  14: ended a difference of the form P(x) in P(x)
- adding  $Q_{\neg p}^{\mathbb{P}}(x)$  to the head;
- 15: else if P is of the form  $Q_{\neg T}^{P}$  where T is a concept name then
- 16: Add to P the rule obtained from R by removing P(x) from the body and adding T(x) to the head;
- 17: return { $R \in \mathbf{P} || \text{head}(R) |= 1$ };

The following example shows the effectiveness of this step.

**Example 6.** Consider the disjunctive datalog program DD(O'') given in Example 5. This step will yield a plain datalog program P having the following rule (12), which is the resolution result between rule (4) and rule (11).

 $Ordinary(x) \leftarrow Person(x), Q^{\dagger}_{\neg Extraordinary}(x)$ . (12)

We can see that now the predicate Ordinary occurs in the head of rule (12) and the Prolog program encoded from ( $\mathbf{P}$ , A', G') will output a list [("Tom", "rdf:type",

p\_QDagNegExtraordinary)] when executing go, where QDagNegExtraordinary stands for  $Q^{\dagger}_{-Extraordinary}$ .

Let P be returned by Modify(DD(O'')), then we have the following lemma.

**Lemma 3.** For any set  $\Delta$  of ABox axioms on concepts or roles in A',  $O' \cup \Delta \models G'$  if  $P \cup \Delta \models G'$ .

*Proof.* Let *ax* be an abitrary atomic concept or role assertion in *G'*. When  $P \cup \Delta \models G'$ ,  $P \cup \Delta \models \{ax\}$  and thus  $P \cup \Delta \cup \{\neg ax\}$  is unsatisfiable. Since every model of DD(O") is also a model of P, DD(O")  $\cup \Delta \cup \{\neg ax\}$  is also unsatisfiable. Let  $\Omega(O")$  be the ALCHIQ ontology obtained from O" in the course of the KAON2 method, then by Theorem 1,  $DD(\Omega(O") \cup \Delta \cup \{\neg ax\}) = DD(\Omega(O")) \cup \Delta \cup \{\neg ax\} = DD(O") \cup \Delta \cup \{\neg ax\}$  is unsatisfiable and thus  $\Omega(O") \cup \Delta \cup \{\neg ax\}$  is inconsistent. Since  $M(O") \subseteq M(\Omega(O"))$ ,  $O" \cup \Delta \cup \{\neg ax\}$  is also

inconsistent and thus  $O'' \cup \Delta \models \{ax\}$ . It follows that  $O'' \cup \Delta \models G'$ . By Lemma 2,  $O' \cup \Delta \models G'$ . W

# **4.2.4 Extracting Abductive Solutions from** prolog(P, A', G').

In the last two steps, we encode (P, A', G') to a Prolog program, and then extract abductive solutions for (O, A, G) from prolog(P, A', G'), namely the set of lists output by the encoded Prolog program when calling go.

There is a remark on the extraction step. Consider an arbitrary set  $\Delta$  of ABox axioms on concepts or roles in A. It can be seen that, all minimal subsets  $\Delta'$  of  $\Delta$  such that  $O \cup \Delta'$  is consistent and entails G are abductive solutions for (O, A, G). However, it is unlikely that such a subset  $\Delta'$  of  $\Delta$  exists when  $O \cup \Delta \not\models G$ . In contrast, consider a list  $L \in \text{prolog}(\mathbf{P}, A', G')$ , since  $\mathbf{P} \cup \text{decode}(L) \models G'$ , by Lemma 3, we have  $O' \cup \text{decode}(L) \models G'$  and thus  $O \cup f(\text{decode}(L)) \models G$ . This implies that there probably exist some subsets  $\Delta'$  of  $f(\text{decode}(L)) \models G$ . This implies that there probably exist some subsets  $\Delta'$  of that  $O \cup \Delta'$  is consistent and entails G. Hence, we do not extract abductive solutions from arbitrary hypotheses but only from lists L in  $\text{prolog}(\mathbf{P}, A', G')$  such that  $\text{decode}(L) \not\models G'$ .

The following theorem shows that this method guarantees the soundness of results.

**Theorem 4.** Let *L* be a list in prolog(P, A', G') such that decode(*L*) is a set of ABox axioms not entailing G', and  $\Delta$  be a minimal subset of f(decode(L)) such that  $O \cup \Delta$  is consistent and entails *G*, then  $\Delta$  is an abductive solution for (O, *A*, *G*). *Proof.* Since decode(*L*)  $\models G'$  and all ABox axioms in decode(*L*) are only on concepts or roles in A', for all subsets  $\Delta'$  of f(decode(L)), obviously  $\Delta' \models G$  and all ABox axioms in  $\Delta'$  are only on concepts or roles in *A*. By the definition of abductive solutions, this theorem follows. W

By Theorem 2, Theorem 3 and Lemma 1, we see that this method also guarantees the completeness of results in some restricted class of the proposed problem. This conclusion is shown in the following theorem.

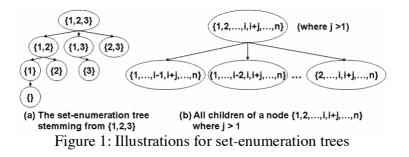
**Theorem 5.** If O' is a Horn-SHIQ<sub>\$\$</sub> ontology without negated role assertions on complex roles and G' has no atomic role assertions on complex roles, then for every abductive solution  $\Delta$  for (O, A, G), there is a list L in prolog(P, A', G') such that decode(L) is a set of ABox axioms not entailing G' and  $\Delta$  is a minimal subset of f(decode(L)) such that  $O \cup \Delta$  is consistent and entail G.

*Proof.* Let  $\Delta'$  be a set of ABox axioms such that  $f(\Delta') = \Delta$ , then by Lemma 1,  $\Delta'$  is an abductive solution for (O', A', G'). Since G has no role assertions on complex roles, G' is a set of atomic concept assertions or atomic role assertions on simple roles. Since O' has no negated role assertions on complex roles and A' is a set of atomic concepts or atomic roles, by Theorem 2,  $\Delta'$  is also an abductive solution for (DD(O'), A', G'). Since O' is a SHIQ<sup>4</sup> ontology, we have DD(O'') = DD(O'). Since O' is a Horn-SHIQ<sup>4</sup> ontology, DD(O'') is a plain datalog program without equational head atoms, thus every definite rule in DD(O'') is also in P. It follows that prolog(DD(O'), A', G') = prolog(DD(O''), A', G') and DD(O') does not contain any equational head atom, by Theorem 3,  $\Delta'$  is a minimal set in {decode(L)|  $L \in prolog(DD(O'), A', G')$ , decode(L) | $\neq G', O' \cup decode(L)$  is consistent}. Since

prolog(DD(O'), A', G')  $\subseteq$  prolog(P, A', G'), there must be a list  $L \in \text{prolog}(P, A', G')$  such that decode(L) =  $\Delta'$ . Then  $f(\text{decode}(L)) = \Delta$ . Since  $\Delta$  is an abductive solution for (O, A,

G),  $\Delta$  is the unique minimal subset of f(decode(L)) such that  $O \cup \Delta$  is consistent and entails G. W

The remaining problem is how to efficiently compute all minimal subsets  $\Delta$  of f(decode(L)) such that  $O \cup \Delta$  is consistent and entails G, where L is a list in  $\text{prolog}(\mathbf{P}, A', G')$  such that  $\text{decode}(L) \models G'$ . We tackle this problem by using a set-enumeration tree whose root is f(decode(L)). A set-enumeration tree stores all subsets of a given set and is constructed by recursively expanding nodes from the root corresponding to the given set. Suppose each element has a sequence number from 1 to m and we use a subset S of  $\{1, ..., m\}$  to represent a node in the tree, where  $i \in S$  means that the  $i^{th}$  element is in the node represented by S. An example set-enumeration tree whose root is represented by  $\{1, 2, 3\}$  is shown in Figure 1 (a). A node represented by  $\{1, 2, ..., i, i + j, ..., n\}$  (where  $0 \le i \le n \le m$  and  $j \square$ ) has exactly i children, where the  $k^{th}$   $(1 \le k \le i)$  child is obtained from its parent by deleting the  $(i+1-k)^{th}$  element, as shown in Figure 1 (b).



To find abductive solutions for (O, A, G) among all subsets of f(decode(L)), the setenumeration tree stemming from f(decode(L)) is traversed in a depth-first manner. The pseudo-code is given in Algorithm 4, where  $Ch(\Delta)$  returns the set of children of a subset  $\Delta$  of f(decode(L)) in the set-enumeration tree stemming from f(decode(L)),

Traverse(f(decode(L)), O, G, S) returns the union of S and the set of all minimal subsets  $\Delta$  of f(decode(L)) such that  $O \cup \Delta$  is consistent and entails G.

# Algorithm 4. Traverse( $\Delta$ , O, G, S)

**Input:** A set  $\Delta$  of ABox axioms, a SHOIQ ontology O, a set G of ABox axioms, and a set S of abductive solutions previously found.

Output: A updated set of abductive solutions.

- 1: **if**  $O \cup \Delta$  is consistent but does not entail G **then return** S;
- 2:  $S' \leftarrow S$ ;
- 3: for each  $\Delta'$  in  $Ch(\Delta)$  do  $S' \leftarrow \text{Traverse}(\Delta', O, G, S');$
- 4: **if** S' = S and  $O \cup \Delta$  is consistent and  $O \cup \Delta$  entails G and  $\Delta$  has no subsets in S **then**  $S' \leftarrow S' \cup \{\Delta\};$
- 5: return S';

Algorithm 4 can be explained as follows. Suppose  $\Delta$  is the current node to be processed in Algorithm 4. In case  $O \cup \Delta$  is consistent but does not entail *G*, since any descendant of  $\Delta$  cannot entail *G*, no descendants of  $\Delta$  can be abductive solutions (see line 1). In other cases, all children of  $\Delta$  in the set-enumeration tree are processed recursively (see line 3). Note that, during the traversal of a set-enumeration tree, all subsets of  $\Delta$  must have been processed after all descendants of  $\Delta$  are processed. Hence, whether  $\Delta$  is an abductive solution can be decided after all its descendants are processed (see line 4).

# 5. EXPERIMENTAL EVALUATION

We implemented both the method for the restricted class (simply called the restricted method) and the one for the full class (simply called the general method), where Pellet (Sirin et al., 2007) API is used to realize consistency checking and entailment checking in the course of extracting abductive solutions from the output of B-Prolog. We conducted experiments on thirteen benchmark ontologies that have large ABoxes. The first two ontologies are Semintec (http://www.cs.put.poznan.pl/alawrynowicz/semintec.htm), which is an ontology about financial services, and Vicodi (http://www.vicodi.org/), which is an ontology on European history. The next five are the Lehigh University Benchmark (LUBM) (Guo et al., 2005) ontologies LUBM n (n = 1, ..., 5), where LUBM *n* denotes the LUBM ontology containing the data of *n* universities. The above ontologies have been used as benchmark ones in comparing different DL reasoners (Motik & Sattler, 2006). The last six ontologies are the University Benchmark (UOBM) (Ma et al., 2006) ontologies UOBM-Lite n and UOBM-DL n (n = 1, 2, 3), where UOBM-Lite n and UOBM-DLn denote the UOBM ontologies (OWL Lite version and OWL DL version, respectively) containing the data of n universities. We could not test larger UOBM ontologies that involve more universities, because B-Prolog ran out of memory when loading the Prolog programs encoded from these ontologies. The characteristics of all test ontologies are shown in Table 2. All experiments were conducted on a PC with Pentium Dual Core 2.60GHz CPU and 2GB RAM, running Windows XP, where the maximum Java heap size was set to 1GB. Note that B-Prolog does not work in the Java Virtual Machine and its memory usage is not limited by the maximum Java heap size. Our implemented system for ABox abduction, accessorial tools and test ontologies are all available at http://jfdu.limewebs.com/abduction/.

Table 2: The characteristics of test ontologies							
Ontology	#C	#R	#TA	#AA	# <b>I</b>		
Semintec	60	16	219	65,240	17,941		
Vicodi	194	12	223	116,181	33,238		
LUBM1~5	43	32	93	$100,543 \sim 624,532$	17,174~102,368		
UOBM-Lite 1~3	51	43	145	245,740 ~ 575,380	37,704 ~ 71,901		
UOBM-DL1~3	69	44	206	$260,900 \sim 607,248$	37,927 ~ 72,059		

Table 2: The characteristics of test ontologies

Note: "#C", "#R", "#TA", "#AA" and "#I" are the numbers of concept names, role names, TBox axioms, ABox axioms and individual names, respectively.

## 5.1 Results on the Restricted Method

We first compared the general method with the restricted method on handling test ontologies for which the results obtained from the KAON2 method are plain datalog programs with equality. These ontologies include Semintec and all UOBM-Lite n. We randomly generated forty atomic

concept assertions. We set all atomic concepts as abducible predicates and every singleton set made up of a generated concept assertion as an observation. The general method finishes in half an hour for all observations. But the restricted method always exceeds half a day when handling an observation that is known to have abductive solutions from the results of the general method. It shows that the rules added to axiomatize equality heavily impair the efficiency of ABox abduction. This can be explained by the fact that these rules introduce cycles in the encoded Prolog program, diffusing the search space to a huge one. Thus we do not recommend the restricted method even when the given problem is in the corresponding restricted class.

#### 5.2 Preparation for the General Method

We implemented the general method in a way that all observations can be handled without starting from scratch as long as the observations are made up of literal concept assertions. Given a test ontology O, a set A of abducible predicates and some observations made up of literal concept assertions, the implementation works in two phases. In the first phase, O, A and the set of all literal concepts in O are encoded into a Prolog program, which is then loaded to B-Prolog. Since this phase is independent from specific observations made up of literal concept assertions, we call it the preprocess phase. In the second phase, when given an observation G made up of literal concept assertions, the implementation encodes G into a Prolog rule and combines it with the loaded Prolog program to compute abductive solutions for (O, A, G). We call this phase the query phase.

Using hypothesis is a new feature in ABox abduction which is not in traditional ABox reasoning. The performance of the general method depends on the size of the hypothesis space which is determined by the number of abductive predicates. To see how the performance changes against different numbers of abductive predicates, we designed four suites of experiments on the general method, each of which uses different numbers of abductive predicates. For the first suite, called the allAC suite, we set all atomic concepts as abducible predicates. For the second suite, called the allLC suite, we set all literal concepts as abducible predicates. For the third suite, called the allEAC suite, we set as abducible predicates all atomic concepts and all existential restrictions of the form  $\exists r.P$  where r is an atomic concept and P is an atomic concept subsumed by the domain of r in the test ontology. For the last suite, called the allELC suite, we set as abducible predicates all literal concepts and all existential restrictions of the form  $\exists r.C$  where r is an atomic concept and C is a literal concept subsumed by the domain of r in the test ontology. For all suites of experiments on a test ontology O, we randomly generated forty concept assertions C(a) such that  $O \neq C(a)$  and  $O \neq \neg C(a)$ , out of which twenty are atomic concept assertions and twenty are negative ones, and set every singleton set made up of a generated concept assertion as an observation. We did not generate C(a) such that O = C(a) because there is only one trivial abductive solution  $\emptyset$  for  $(O, A, \{C(a)\})$ . We also did not generate C(a) such that  $O = \neg C(a)$  because there is no abductive solution for (O, A,  $\{C(a)\}$ ). To see how the general method scales with increasing sizes of ABoxes, we generated the same set of observations for different LUBM *n* (resp. different UOBM-Lite *n* or different UOBM-DLn).

The aim of these experiments is to verify the general method in terms of efficiency and scalability against different numbers of abducible predicates and different sizes of ABoxes. Note that the set of abducible predicates in the allAC suite is a subset of that in the allLC or allEAC suite, while the set of abducible predicates in the allLC or allEAC suite is a subset of that in the

allELC suite. Hence we have the following partial order on the complexity of abducible predicates: allAC allLC, allAC allEAC, allCC allEAC, allEAC allELC. So far we cannot verify the completeness of the general method, because the baseline method which generates and tests all candidate abductive solutions is infeasible in traversing such a huge search space for any test ontology. Nevertheless, we can still provide some information on the completeness. Since Vicodi and all LUBM *n* are Horn-SHIQ<sub>\$\epsilon</sub> ontologies, by Theorem 5, the general method must compute the complete set of abductive solutions for an observation made up of atomic concept assertions, in all suites of experiments.

Table 3: The statistics for Semintec and Vicodi								
Ontology	Suite	#Abd	<b>Pre.Time</b>	Max.Time	Avg.Time	Max.Num	Avg.Num	
Semintec	allAC	59	22.1	5.4	4.2	3	2.5	
Semintec	allLC	118	22.2	7.4	5.4	. 3	3.0	
Semintec	allEAC	119	24.2	4.5	3.4	. 3	2.5	
Semintec	allELC	178	24.2	4.7	4.1	3	3.0	
Vicodi	allAC	194	76.3	22.4	3.8	11	1.8	
Vicodi	allLC	388	76.5	22.3	11.2	11	3.3	
Vicodi	allEAC	673	78.7	480.3	16.0	212	7.2	
Vicodi	allELC	867	78.9	480.8	19.9	212	8.6	

## 5.3 Results on the General Method

Note: "#Abd" is the number of abducible predicates. "Pre.Time" is the execution time (sec) of the preprocess phase, "Max.Time" (resp. "Avg.Time") is the maximum (resp. average) execution time (sec) for computing abductive solutions for an observation in the query phase. "Max.Num" (resp. "Avg.Num") is the maximum (resp. average) number of computed abductive solutions for an observation.

The test results for Semintec and Vicodi are shown in Table 3. For all suites of experiments, the execution time of the preprocess phase is almost the same, except that the execution time for the allEAC or allELC suite is slightly longer. Both the execution time for computing abductive solutions for an observation and the number of computed abductive solutions increase when the complexity of abducible predicates increases. For each suite and each observation, the computation of abductive solutions is accomplished without running out of memory. In particular, the maximum execution time for computing abductive solutions for an observation is less than half a minute for almost all suites, except that for Vicodi and two suites (allEAC and allELC), the maximum execution time is about six minutes. The reason why computing abductive solutions takes a rather long time in some cases is that there are many abductive solutions in these cases.

## Table 4: A portion of statistics for LUBM n, UOBM-Lite n and UOBM-DL n

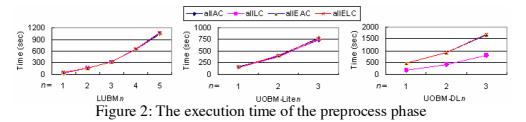
Ontology	Suite	#Abd	#Succ	Max.Num	Avg.Num
LUBM n	allAC	43	40	3	0.3
LUBM n	allEC	86	40	4	1.2
LUBM n	allEAC	373	40	53	5.1
LUBM n	allELC	588	40	96	9.6
UOBM-Lite n	allAC	51	24	9	1.5

UOBM-Lite n	allEC	102	24	9	1.8
UOBM-Lite n	allEAC	659	24	148	17.2
UOBM-Lite n	allELC	1067	24	250	21.8
UOBM-DLn	allAC	68	16	15	1.5
UOBM-DLn	allEC	136	16	15	1.8
UOBM-DLn	allEAC	862	16	101	9.4
UOBM-DLn	allELC	1406	15	169	14.7

Note: "#Abd" is the number of abducible predicates. "#Succ" is the number of successful observations for which computing abductive solutions is accomplished in finite time. "Max.Num" (resp. "Avg.Num") is the maximum (resp. average) number of computed abductive solutions for a successful observation.

The test results for LUBM n, UOBM-Lite n and UOBM-DLn (excluding execution time) are shown in Table 4. Since we used the same set of observations for all LUBM n (resp. all UOBM-Lite n or all UOBM-DLn), we got the same results on all aspects except execution time for different n. Due to limited memory, some observations cannot be properly handled in some test cases. We call an observation a successful one if the computation of abductive solutions for it is accomplished without running out of memory. For all suites of experiments on LUBM n, all 40 observations are successful ones. For all suites of experiments on UOBM-Lite n, 24 observations are successful ones. For all suites of experiments on UOBM-DLn, 16 observations are successful ones except that 15 are successful for the allELC suite. All failures are caused by B-Prolog, which ran out of memory during executing the encoded Prolog program.

The execution time of the preprocess phase (simply preprocessing time) against different n is shown in Figure 2. For all LUBMn or all UOBM-Lite n, the preprocessing time is almost the same for different suites. For all UOBM-DLn, the preprocessing time for the allELC suite and the allEAC suite is significantly longer than the preprocessing time for the allLC suite and the allAC suite. The main reason why UOBM-DLn have different results on preprocessing time is that they are expressed in the most expressive language among all test ontologies, while the resolution operations between the clauses translated from complex axioms in UOBM-DLn and the clauses used to normalize abducible predicates from existential restrictions to atomic concepts result in much more rules in the compiled disjunctive datalog program. Regarding the scalability against different sizes of ABoxes, the preprocessing time for LUBMn, UOBM-Liten or UOBM-DLn increases smoothly when n increases. The general method shows a near linear scalability on preprocessing time.



The maximum/average execution time (in the query phase) for computing abductive solutions for a successful observation is shown in Figure 3 and Figure 4, respectively. For all LUBM n, UOBM-Lite n or UOBM-DL n, the execution time for computing abductive solutions

for a successful observation increases when n increases and when the complexity of abducible predicates increases. The general method shows a near linear scalability on the execution time for computing abductive solutions against different sizes of ABoxes. For the allAC suite and the allLC suite, the maximum execution time for computing abductive solutions for a successful observation is relatively short and is less than eight minutes for all test ontologies. For the other two suites, the maximum execution time for computing abductive solutions for a successful observation is relatively long, but the average execution time is only about one tenth of the maximum execution time. Table 4 has shown some hints for explaining why computing abductive solutions takes a long time in some cases. The main reason is that there are many abductive solutions in these cases.

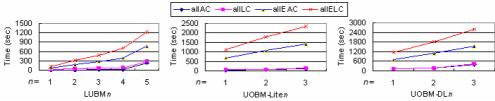


Figure 3: The maximum execution time for computing abductive solutions for a successful observation

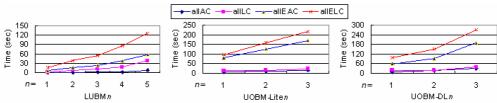


Figure 4: The average execution time for computing abductive solutions for a successful observation

## 5.4 Discussion

The general method for ABox abduction provides an effective way to search over  $O(2^{|A_C||N_I|+|A_R||N_I|^2})$  candidate abductive solutions, where  $|A_C|$ ,  $|A_R|$  and  $|N_I|$  are respectively the number of abducible concepts, the number of abducible roles and the number of individual names. That is, it localizes the search in small areas, each of which contains a portion of abductive solutions. With this manner the method can efficiently compute abductive solutions in benchmark ontologies that have large ABoxes. In particular, experimental results show that the method works well for hundreds of abducible predicates that are concepts more complex than literal ones. The results also show that the method scales well against different sizes of ABoxes. We believe that the method is able to scale to much larger ABoxes provided that it works with more memory.

It should be mentioned that we did not show the experimental results about the cases where roles are used as abducible predicates. But we had actually conducted some of such experiments. The results are not promising because there are usually too many abductive solutions. Recall a simple example given in Section 3:  $O = \{\exists hasFather. Person, \neg hasFather(a_1,a_1), Person(a_2), ..., Person(a_n)\}, A = \{hasFather\} and G = \{Person(a_1)\}. There are <math>n-1$  abductive solutions for (O, A, G), i.e.  $\{hasFather(a_1,a_2)\}, ..., \{hasFather(a_1,a_n)\}$ . This

example has similar nature as the test cases where atomic roles are used as abducible predicates, thus it is not a surprise when we saw the general method did not finish and continued outputting abductive solutions after several hours. Although the general method does not handle abducible roles well, it can still be of practical use because abducible roles can often be substituted by abducible predicates that are existential restrictions. Consider the aforementioned example. If the abducible role hasFather is replaced with  $\exists$ hasFather. in A, then there is only one abductive solution for (O, A, G), i.e. { $\exists$ hasFather. ( $a_1$ )}, which essentially generalizes {hasFather( $a_1, a_2$ )}, ..., {hasFather( $a_1, a_n$ )}. Hence, we recommend using existential restrictions rather than roles as abducible predicates.

## 6. RELATED WORK

Abductive reasoning in DLs was initiated by Elsenbroich et al. (2006). They classified the tasks of abductive reasoning into two categories, namely TBox abduction and ABox abduction, and described specific tasks in these two categories using case studies. The necessity of abductive reasoning in DLs was reemphasized by Bada et al. (2008) to support ontology quality control.

Although abductive reasoning in DLs is important, there is still not much work in this area, probably due to the high complexity of abductive reasoning. Computing a set-minimal abductive solution for propositional Horn theories is already NP-hard (Selman & Levesque, 1990). It is even harder for more general propositional theories (Eiter & Gottlob, 1995). Bienvenu (2008) adapted this complexity result to the EL family (Baader et al., 2005) and showed that the problem of computing a minimal set of atomic concepts  $\{A_1, ..., A_n\}$  such that  $A_1 \dots A_n$  is satisfiable and subsumed by an observed atomic concept C in an EL<sup>++</sup> TBox is NP-hard. Considering that EL<sup>++</sup> is a rather inexpressive DL, the complexity should be at least as high for general DLs. Since the problem considered by Bienvenu (2008) can be treated as a problem for ABox abduction by defining abducible predicates as atomic concepts and the observation as  $\{C(a)\}$  where a is a fresh individual, the complexity for ABox abduction is at least NP-hard.

The work on methods for TBox abduction has a longer history than that for ABox abduction. Before the use of abductive reasoning in DLs was comprehensively discussed by Elsenbroich et al. (2006), Colucci et al. (2004) have proposed a tableaux-based method for concept abduction in ALN TBoxes, which computes an ALN concept H such that C H is satisfiable and subsumed by D in a given ALN TBox, for two given ALN concepts C and D. This method has only been empirically verified in small-scale applications with a few hundreds of concepts (Colucci et al., 2004; Noia et al., 2007). To support existential restrictions that are not allowed in ALN, Noia et al. (2009) also proposed a tableaux-based method for concept abduction in SH TBoxes. No evaluation results are available for this method. Targeting a different problem for TBox abduction, which computes a set of concept inclusion axioms to enforce entailment of a given concept inclusion axiom, Hubauer et al. (2010) proposed an automata-based method for TBox abduction in EL TBoxes. Also, there are no evaluation results available for this method. Considering that ALN, SH and EL do not support nominals, the above methods cannot directly be applied to ABox abduction. Moreover, there is no empirical evidence that these methods are practically feasible in handling a large number of axioms that involve nominals. Hence, we do not consider adapting existing methods for TBox abduction to ABox abduction.

As mentioned in section 1, the work on ABox abduction is rare. Peraldi et al. (2007) proposed a method, based on backward inference, to compute abductive solutions in a DL ontology accompanying rules. The method has the following limitations: the axioms that can be

used are restricted to some special forms; the computed abductive solutions may not be subsetminimal. Recently, Klarman et al. (2011) proposed a method, based on tableaux and resolution techniques, to compute all abductive solutions in an ALC ontology. It is still unclear how to extend this method to support more expressive DLs. Furthermore, the method does not guarantee termination. In contrast, our proposed method guarantees termination and set-inclusion minimality of abductive solutions; moreover, it works for SHOIQ which is much more expressive than ALC. Currently, we are unable to empirically compare our proposed method with the above two methods, because for the first one, neither the ontology nor the system they used is publicly accessible, while for the second one, no evaluation results are available.

Abductive reasoning in logic programming (Kakas et al., 1998) is a relatively prolific area. There exist mature proof procedures for abductive reasoning in logic programming. The premier proof procedure is the SLDA procedure (Kakas & Mancarella, 1990), which extends the wellknown SLD resolution (Selective Linear Definite clause resolution) with abduction. This procedure has been extended to the SLDNFA procedure (Denecker & Schreye, 1992) to support normal logic programs that may contain negation-as-failure. The SLDNFA procedure has also been extended or refined to other proof procedures such as the IFF (if-and-only-if) procedure (Fung & Kowalski, 1997). The two state-of-the-art abduction systems CIFF (Mancarella et al., 2009) and A -system (Kakas et al., 2001), mentioned in this paper, are built on the above proof procedures, where CIFF is built on an extension of IFF and A -system is built on SLDNFA. However, these abduction systems cannot solve our proposed program for ABox abduction because they do not work for expressive DLs. Although we provide a method for reducing our proposed problem to an abduction problem on plain datalog programs (see subsection 4.2), these systems are still inapplicable because they currently do not guarantee termination in handling cyclic plain datalog programs. Hence, we implement the SLDA procedure on a Prolog engine B-Prolog, through an encoding method proposed in subsection 4.1, to solve the reduced abduction problem. This implementation uses linear tabling (Shen et al., 2001) supported by B-Prolog to solve the reduced problem in finite time.

### 7. CONCLUSION

ABox abduction is an indispensable non-standard reasoning facility in DLs, but the work on ABox abduction is rare. What is even worse, currently no method for ABox abduction works for very expressive DLs and computes minimal solutions in finite time. Under this situation, this paper made the following contributions so as to pave a way to practical ABox abduction.

Firstly, the paper proposed a new problem for ABox abduction. This problem follows some ideas from abductive reasoning in logic programming, e.g., an abductive solution, namely a result of ABox abduction, should be subset-minimal, and introduces the notion of abducible predicate to guarantee finite number of abductive solutions. That is, all ABox axioms in an abductive solution should be on a finite set of abducible predicates which can be arbitrary concepts or roles.

Secondly, the paper accordingly proposed a method for the above problem. The method is based on a reduction from DL SHOIQ to plain datalog. That is, the abductive solutions for the original problem which is expressed in SHOIQ are computed by reducing the original problem to an abduction problem in plain datalog programs, and then extracting true results from abductive solutions for the reduced abduction problem. Although the reduction may not guarantee semantic equivalence, the proposed method still guarantees soundness and conditional completeness of computed results.

At last, the paper also provided evaluation results on benchmark ontologies that have large ABoxes. The results show that the method works well for hundreds of abducible predicates and scales well against different sizes of ABoxes. To the best of our knowledge, these results are the first evaluation results for ABox abduction on large benchmark ontologies.

As shown in our experiments, the bottleneck of the proposed method lies in solving the reduced abduction problem. Hence, in future work we plan to investigate which fragments of plain datalog allow for efficient computation of abductive solutions, and develop methods for reducing the proposed problem to an abduction problem expressed in such fragments. The proposed problem has a potential issue that there may be too many abductive solutions, especially when roles are used as abducible predicates. We also plan to tackle this issue by refining the proposed problem, e.g., defining stricter minimal criteria for abductive solutions.

## ACKNOWLEDGEMENTS

We thank anonymous reviewers for their very useful comments and suggestions. Jianfeng Du is partially supported by the National Natural Science Foundation of China (NSFC) grant 61005043. Guilin Qi is partially supported by NSFC grants (61003157 and 61272378), Jiangsu Science Foundation (BK2010412), Excellent Youth Scholars Program of Southeast University, and Doctoral Discipline Foundation for Young Teachers in the Higher Education Institutions of Ministry of Education (No. 20100092120029). Yi-Dong Shen is partially supported by NSFC grants 60970045 and 60833001. Jeff Z. Pan is partially funded by the K-Drive and ITA project.

## REFERENCES

Baader, F., Brandt, S., & Lutz, C. (2005). Pushing the EL envelope. In *Proceedings of the 19th International Joint Conference on Artificial Intelligence (IJCAI)* (pp. 364–369).

Baader, F., Calvanese, D., McGuinness, D. L., Nardi, D., & Patel-Schneider, P. F. (Eds.) (2003). *The Description Logic Handbook: Theory, Implementation, and Applications*. Cambridge University Press.

Baader, F., & Peñaloza, R. (2007). Axiom pinpointing in general tableaux. In *Proceedings of the* 16th International Conference on Automated Reasoning with Analytic Tableaux and Related Methods (TABLEAUX) (pp. 11–27).

Bada, M., Mungall, C., & Hunter, L. (2008). A call for an abductive reasoning feature in owlreasoning tools toward ontology quality control. In *Proceedings of the 5th OWLED Workshop on OWL: Experiences and Directions*.

Bienvenu, M. (2008). Complexity of abduction in the EL family of lightweight description logics. In *Proceedings of the 11th International Conference on Principles of Knowledge Representation and Reasoning (KR)* (pp. 220–230).

Colucci, S., Noia, T. D., Sciascio, E. D., Donini, F. M., & Mongiello, M. (2004). A uniform tableaux-based approach to concept abduction and contraction in ALN. In *Proceedings of the* 17th International Workshop on Description Logics.

Denecker, M., & Schreye, D. D. (1992). SLDNFA: An abductive procedure for normal abductive programs. In *Proceedings of the Joint International Conference and Symposium on Logic Programming (JICSLP)* (pp. 686–700).

Du, J., Qi, G., Shen, Y., & Pan, J. Z. (2011). Towards practical abox abduction in large OWL DL ontologies. In *Proceedings of the 25th National Conference on Artificial Intelligence (AAAI)* (pp. 1160–1165).

Eiter, T., & Gottlob, G. (1995). The complexity of logic-based abduction. *Journal of the ACM*, 42, 3–42.

Eiter, T., Gottlob, G., & Mannila, H. (1997). Disjunctive datalog. *ACM Transactions on Database Systems*, 22, 364–418.

Elsenbroich, C., Kutz, O., & Sattler, U. (2006). A case for abductive reasoning over ontologies. In *Proceedings of the 3rd OWLED Workshop on OWL: Experiences and Directions*.

Fitting, M. (1996). *First-order Logic and Automated Theorem Proving (2nd ed.)*. Secaucus, NJ, USA: Springer-Verlag New York, Inc.

Fung, T. H., & Kowalski, R. A. (1997). The IFF proof procedure for abductive logic programming. *Journal of Logic Programming*, *33*, 151–165.

Grau, B. C., Horrocks, I., Motik, B., Parsia, B., Patel-Schneider, P. F., & Sattler, U. (2008). OWL 2: The next step for OWL. *Journal of Web Semantics*, *6*, 309–322.

Guo, Y., Pan, Z., & Heflin, J. (2005). LUBM: A benchmark for OWL knowledge base systems. *Journal of Web Semantics*, *3*, 158–182.

Horrocks, I., Patel-Schneider, P. F., & van Harmelen, F. (2003). From SHIQ and RDF to OWL: The making of a web ontology language. *Journal of Web Semantics*, 1, 7–26.

Hubauer, T., Lamparter, S., & Pirker, M. (2010). Automata-based abduction for tractable diagnosis. In *Proceedings of the 23rd International Workshop on Description Logics*.

Hustadt, U., Motik, B., & Sattler, U. (2007). Reasoning in description logics by a reduction to disjunctive datalog. *Journal of Automated Reasoning*, *39*, 351–384.

Kakas, A. C., Kowalski, R. A., & Toni, F. (1998). The role of abduction in logic programming. In Dov M. Gabbay, C.J. Hogger, and J. A. Robinson (Ed.), *Handbook of Logic in Artificial Intelligence and Logic Programming Volume 5: Logic Programming*. (pp. 235–324). Oxford University Press.

Kakas, A. C., & Mancarella, P. (1990). Database updates through abduction. In *Proceedings of* the 16th International Conference on Very Large Data Bases (VLDB) (pp. 650–661).

Kakas, A. C., Nuffelen, B. V., & Denecker, M. (2001). A -System: Problem solving through abduction. In *Proceedings of the 17th International Joint Conference on Artificial Intelligence* (*IJCAI*) (pp. 591–596).

Kalyanpur, A., Parsia, B., Horridge, M., & Sirin, E. (2007). Finding all justifications of OWL DL entailments. In *Proceedings of the 6th International Semantic Web Conference (ISWC)* (pp. 267–280).

Klarman, S., Endriss, U., & Schlobach, S. (2011). Abox abduction in the description logic ALC. *Journal of Automated Reasoning*, *46*, 43–80.

Ma, L., Yang, Y., Qiu, Z., Xie, G., Pan, Y., & Liu, S. (2006). Towards a complete OWL ontology benchmark. In *Proceedings of the 3rd European Semantic Web Conference (ESWC)* (pp. 125–139).

Mancarella, P., Terreni, G., Sadri, F., Toni, F., & Endriss, U. (2009). The CIFF proof procedure for abductive logic programming with constraints: Theory, implementation and experiments. *Theory and Practice of Logic Programming*, *9*, 691–750.

Motik, B., & Sattler, U. (2006). A comparison of reasoning techniques for querying large description logic aboxes. In *Proceedings of the 13th International Conference on Logic for Programming, Artificial Intelligence, and Reasoning (LPAR)* (pp. 227–241).

Noia, T. D., Sciascio, E. D., & Donini, F. M. (2007). Semantic matchmaking as non-monotonic reasoning: A description logic approach. *Journal of Artificial Intelligence Research*, 29, 269–307.

Noia, T. D., Sciascio, E. D., & Donini, F. M. (2009). A tableaux-based calculus for abduction in expressive description logics: Preliminary results. In *Proceedings of the 22nd International Workshop on Description Logics*.

Peraldi, I., Kaya, A., Melzer, S., Möller, R., & Wessel, M. (2007). Towards a media interpretation framework for the semantic web. In *Proceedings of 2007 IEEE/WIC/ACM International Conference on Web Intelligence (WI)* (pp. 374–380).

Schlobach, S., & Cornet, R. (2003). Non-standard reasoning services for the debugging of description logic terminologies. In *Proceedings of the 18th International Joint Conference on Artificial Intelligence (IJCAI)* (pp. 355–362).

Selman, B., & Levesque, H. J. (1990). Abductive and default reasoning: A computational core. In *Proceedings of the 8th National Conference on Artificial Intelligence (AAAI)* (pp. 343–348).

Shen, Y., Yuan, L., You, J., & Zhou, N. (2001). Linear tabulated resolution based on prolog control strategy. *Theory and Practice of Logic Programming*, *1*, 71–103.

Sirin, E., Parsia, B., Grau, B. C., Kalyanpur, A., & Katz, Y. (2007). Pellet: A practical OWL-DL reasoner. *Journal of Web Semantics*, *5*, 51–53.

Jianfeng Du is an associate professor working at Guangdong University of Foreign Studies. He received the PhD degree from the State Key Laboratory of computer science, Institute of Software, Chinese Academy of Sciences in 2009. His main research interests include semantic web, data mining and business intelligence. His work was published in some prestigious conferences and journals, including IJCAI, AAAI, UAI, WWW, ISWC, and Knowledge and Information Systems.

Guilin Qi is a professor working at Southeast University in China. He received his PhD in Computer Science from Queen's University of Belfast in 2006. Before he moved back to China, he has worked in Institute AIFB at University of Karlsruhe for three years. His research interests include knowledge representation and reasoning, uncertainty reasoning, and semantic web. He has published over 80 papers in these areas, many of which published in proceedings of major conferences or journals. He is in the editorial board of the Journal of Web Semantics. He is an associate editor of the Journal of Advances in Artificial Intelligence and has co-edited a special issue of Annals of Mathematics and Artificial Intelligence. He has organized a couple of international workshops, including C&O'08, ARea'08, ARCOE 09-10, IWOD'10. He has served as PC members of several international conferences and workshops, such as KR'12, ECAI'12, IJCAI'11, AAAII1-12, ESWC11-12, ISWC09-12.

Yi-Dong Shen is a professor of Computer Science in the State Key Laboratory of Computer Science at Institute of Software, the Chinese Academy of Sciences, China. Prior to joining this laboratory, he was a Professor at Chongqing University, China. He held visiting positions at University of Valenciennes (France), University of Maryland (USA), University of Alberta and Simon Fraser University (Canada), Griffith University (Australia), and Hong Kong University of Science and Technology (China). Since 2011, he has held an appointment as adjunct professor at Griffith University, Australia. His main research interests include knowledge representation and reasoning, semantic web, and data mining.

Jeff Z. Pan is a senior lecturer in the Department of Computing Science at University of Aberdeen, where he is the Deputy Director of Research of the department. He has over 100 referred publications and serves on the Editorial Board of the Journal of Web Semantics (JWS) and the International Journal on Semantic Web and Information Systems (IJSWIS) and as program chair of the 1st International Semantic Technology Conference, of the 1st International Conference on Web Reasoning and Rule System, and of the Doctoral Consortiums in the 9th International Semantic Web Conference (ISWC2010) and in the 8th Extended Semantic Web Conference (ESWC2011) . He is a key contributor to the W3C OWL2 standard and is widely recognized for his work on scalable and efficient ontology reasoning and query answering (see e.g. the TrOWL Tractable OWL2 reasoning infrastructure that he leads, <u>http://trowl.eu/</u>).